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Inactivation by UV-Radiation and Internalization of Coliforms and Escherichia coli in Aquaponically and Hydroponically Grown Lettuce

Matthew J. Moriarty

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**Inactivation by UV-Radiation and Internalization of Coliforms and
Escherichia coli in Aquaponically and Hydroponically Grown Lettuce**

Matthew J. Moriarty

Thesis submitted to the
Davis College of Agriculture, Natural Resources, and Design
at
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in partial fulfillment of the requirements
for the degree of

Master of Science
In
Nutritional and Food Science

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2014

Keywords: *Escherichia coli*, coliforms, aquaponics, hydroponics, internalization, fecal
contamination

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ABSTRACT

Inactivation by UV-Radiation and Internalization of Coliforms and *Escherichia coli* in Aquaponically and Hydroponically Grown Lettuce

Matthew J. Moriarty

Recent studies have shown that coliform bacteria, specifically *Escherichia coli* O157:H7, can internalize in leafy green produce. Therefore, a risk exists for lettuce being grown in systems using mixed water sources. Additionally, Ultra Violet light radiation has been shown to inactivate coliforms in water. For this reason, an experiment was conducted at West Virginia University's aquaculture facility to determine the effect of UV-radiation in water supplying an aquaponic channel with nutrients, and to assess the risk of coliform and *E. coli* internalization. In this experiment, the spring fed, flow-through aquaponic system was receiving runoff from cattle pastures as a source of coliform bacteria. An inline UV-light system was randomized to treat water going to half of the hydroponic channels growing lettuce downstream from the raceways containing fish. Water going into and leaving the eight channels was sampled for 6 weeks in addition to the upstream water (before and after the fish raceways). Additionally, lettuce samples were aseptically collected during the time of harvest. Water samples were plated directly on 3-M Petrifilm EC (*E. coli*/ coliform) as well as on M-endo agar using a membrane filter. Incubation was done at 35°C for 48h for both media. Lettuce samples were surface sanitized, homogenized, and directly plated on M-endo agar. The mean coliform count of all untreated water was 1.93×10^1 CFU/ml. A 3-4 Log reduction in total coliforms for treated water entering the channels was observed. There were no detectable levels of *E. coli* in the treated water entering the channels; the mean concentration of untreated water was 0.69 CFU/ml. Additionally, internalized coliforms were not detected in the lettuce samples. Although, black colonies did grow on the media, they tested negative in a confirmation test; discoloration was most likely due to the decomposition of the lettuce particles within the samples. This study demonstrates the effectiveness of coliform inactivation by UV-radiation in water and suggests that coliform/*E. coli* internalization in lettuce may be a conditional phenomenon.

In efforts to verify the possibility of internalization in lettuce grown in water, as well as its dependence on conditional factors, a follow-up study was conducted at West Virginia University. The objective of this study was to determine the level in which internalization of *E. coli* occurs in lettuce as well as the effect plant root injury may have on it within a hydroponic system. A hydroponic system was set up to grow lettuce in water inoculated with *Escherichia coli* O157:H7. The inoculated water was kept at a concentration around 10^5 CFU/ml of *E. coli* O157:H7 for the duration of this study. Half of the plants were randomized to receive 3 consecutive treatments of damage to the root tips. Edible portions of the lettuce were then harvested to be analyzed. The lettuce was surface-sanitized, homogenized, and filtered using a bag mixer. Sample dilutions were prepared and inoculated on 3-M Petrifilm EC. Internalization was observed at a mean of 1.66×10^1 CFU/g in both treated and untreated plants and as high as 4.90×10^4 CFU/g in one treated sample. Although there was no significant difference between treatment and the control, it should be stated a difference was observed. Taking into account the sample size used, significance may be seen if this were repeated on a larger scale. The variation within the samples may also suggest other contingent conditions may be a factor.

Acknowledgments

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**CHAPTER 1: Inactivation with UV-Radiation and Internalization of Coliforms and
Escherichia coli in Aquaponically Grown Lettuce**

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INTRODUCTION

Outbreaks in food borne illnesses traced back to fresh produce and associated with human enteric pathogens have been increasing in recent years (Macarisin, Patel, & Sharma, 2014; Deering, Mauer, & Pruitt, 2012). The proportion of food borne outbreaks associated with produce has increased from 0.7% in the 1970's to over 6% in the 1990's (Sivapalasingam, Friedman, Cohen & Tauxe, 2004). In a case study reviewing outbreaks between 1982 and 2002, 350 reported outbreaks accounted for 8,598 cases of *E. coli* O157:H7 infections in the United State (Rangel, Sparling, Crowe, Griffin & Swerdlow, 2005). Transmission routes for 183 of these outbreaks were found to be food borne of which 21% of them were linked to produce (Rangel, Sparling, Crowe, Griffin & Swerdlow, 2005). One particular concern of food borne outbreaks involving produce is with fecal contamination. This includes the contamination of produce with the enteric pathogen *Escherichia coli* O157:H7. Epidemiological data shows that 8.3% of dairy and beef cattle may asymptotically shed *E. coli* O157:H7 in their feces (Solomon, Yaron & Matthews, 2002). One vehicle in which this pathogen is possibly transmitted is by contact of contaminated surface runoff including water contaminated with cattle feces (Solomon, Yaron & Matthews, 2002).

Aquaponics is a growing industry that combines the production of plants and fish using hydroponics and aquaculture (Timmons, & Ebeling, 2007). The risk of fecal contamination in recirculating aquaponic systems that exclude warm-blooded animals and use potable water should be relatively low. Although the body temperature of fish (cold-blooded) is too low for these enteric pathogens to proliferate, fresh water fish (Tilapia in ponds), as well as their aquatic environment, can harbor food borne pathogens such as *E. coli* O157:H7 when contaminated with warm-blooded animal feces (Al-Harbi, 2003). The fish may even carry these enteric bacteria in

1 their intestines for up to 7 days (Geldreich & Clarke, 1966). Supporting evidence shows
2 coliforms within the gut of fish are not permanent flora, but instead related to the level of
3 contamination within their environment (Geldreich & Clarke, 1966). Total and/or fecal coliform
4 counts are used as indicators for fecal contamination. A high level of coliform detection in water
5 provides evidence that there may be fecal contamination by a warm-blooded animal, indicating a
6 risk for enteric pathogens to be present. The detection of fecal contamination in aquaponic
7 operations has been predominantly seen in systems using poor quality source water and/or allow
8 for fecal inputs from domestic animals or wildlife (Fox, Tamaru, Hollyer, Castro, Fonseca, Jay-
9 Russell & Low, 2012). Produce grown in systems such as these, may be at risk for enteric
10 pathogen contamination through internalization, despite efforts of surface sanitation.

11 *E. coli* O157:H7 has been shown to have several ways to internalize within the
12 tissue of produce (Gomes, Da Silva, Moreira, Castell-Perez, Ellis, & Pendleton, 2009). For
13 example, evidence supports that *E. coli* O157:H7 cells can penetrate the stomata and junction
14 zones of lettuce leaves after being cut, as well as be transported into the plant through the root
15 system; this leaves post-harvest washing and surface sanitation methods ineffective on these cells
16 (Solomon, Yaron & Matthews, 2002; Jablasone, Warriner & Griffiths, 2005). In significant
17 relation, it also has been shown that *E. coli* O157:H7 can be transported through the roots of
18 hydroponically grown spinach and into the edible portions of the plant (Macarisin, Patel, &
19 Sharma, 2014). This study investigates the possibility for internalization of *Escherichia coli*
20 O157:H7 through the roots of lettuce in a flow-through system that has shown to maintain fecal
21 contamination, as well as determine the efficacy of Ultraviolet light in water to inactivate
22 coliforms in water supplying nutrients to lettuce grown aquaponically on a floating raft.

MATERIALS AND METHODS

Aquaponic Facility

A cold-water, spring fed aquaponic greenhouse, part of the aquaculture facility at Reymann Memorial Farm near Wardensville, WV, was used for the duration of this study. Spring water was collected as surface run-off and flowed by gravity through trout raceways before being pumped into the aquaponic greenhouse. The water supply to the aquaculture facility received runoff from cattle pastures allowing for fecal contamination from both cattle and wildlife. The water first flowed into a head-box within the building containing trout raceways. The head-box did not contain any fish and delivered the flow to raceways in parallel. Each raceway was 30 ft long, 3 ft wide with water 3 ft deep supplied with a flow of about 662 L/min. Water flowed in parallel; each stream through a series of four raceways where Rainbow Trout (*Oncorhynchus mykiss*) were housed and fed. Each of the eight raceways had a quiescent zone from which fish were excluded and solid waste would settle out. Periodically accrued solid waste would be removed from these quiescent zones. Water leaving the last raceways flowed into a tail-box and out of the facility by being pumped into the aquaponic greenhouse without additional treatment (Figure 7A).

The greenhouse housed lined plywood structures, each with 3 aquaponic channels at waist-level, or about 3 ft off the ground (Figure 7B). Each channel was approximately 39 cm wide, and 238 cm long and held water at a depth of 8 inches. Water entered (Inlet) each channel through a capped 1 inch PVC pipe with a 3/8 inch hole controlling flow volume to deliver approximately 7.6 L/min to each channel. Water drained from each channel through a 2 inch

standpipe near the end wall. Each channel was scrubbed clean and disinfected with chlorine prior to the experiment.

Lettuce (*Latuca sativa* 'Red Sails') was grown in polystyrene Speedling® trays with 128 cells/tray to which vermiculite was added as a growing medium. Each tray was approximately 34 cm wide and 67 cm long and each cell was 4.45 sq.cm and 6.35 sq.cm deep. Three trays floated end to end on the water surface of each aquaponic channel between the inlet and the drain. Seeds were sown directly on to the vermiculite surface of the trays using a vacuum seeder. Eight channels were used in this experiment with the 9th channel being used for overflow. Four of the eight channels were randomly selected to receive UV-treated water. Statistical analysis were done to find the mean Log reduction in total coliforms between treated and untreated water, as well as the mean difference between the head-box and tail-box using Tukey's HSD multiple comparison method.

UV light system

Of the 8 channels containing lettuce, 4 were randomly selected to receive UV light treatment in which the water would first pass through a UV light system before reaching the channel. The UV system used, a custom modified version of model COM480HO-2U, was composed of an 80 watt bulb in a 54" X 6.63" cylindrical vessel purchased from Emperor Aquatics in Pottstown, PA. This UV system was particularly chosen for this experiment to successfully inactivate coliforms while still leaving a detectible level to enumerate. The manufacturer specifications for this system are a dose of 180 mJ/cm² at 26 L/min and 30 mJ/cm² at 170 L/min in water with 90-95% transmittance (T). Water passing through the UV system had a mean transmittance of 96.64% and a flow-rate of 48.3 L/min.

Water sample collection and inoculation

Water was sampled every 2 weeks over a 6 week interval, beginning on week 0 (the day after seeds were planted). Samples were taken at the head-box, tail-box, the inlet of each aquaponic channel (Channel in), and at the drain of each channel (Channel out). Glass bottles used for collecting samples were autoclaved and sealed before use. Water samples collected from the head-box were directly filled by placing the bottle underneath the head-box discharge. This was done the same for water flowing into the tail-box. Water collected from the channel inlet was collected by placing the bottles directly beneath the spout. Lastly, water collected near the channel drain was taken up by using a 25 ml sterile pipette, using a new pipette for each channel. Water was collected in an upstream manner to prevent any direct contamination or disturbance to the samples. Samples were placed in an ice-filled cooler to be transported to the lab and refrigerated overnight.

Sample bottles were tipped back and forth 5 times to ensure even distribution. They were then plated on 3-M Petrifilm™ EC (E.coli/coliform plate) by dispensing 1 ml onto the plate using 1000 µl sterile micropipettes according to the manufacture's standard methods. The 3-M petrifilm manufacturer specifies that red colonies represent coliforms whereas blue colonies represent *E. coli* with the exception of *E. coli* O157:H7 which appear as red colonies. Plates were incubated at 35°C for 48 h before enumerating. Immediately following inoculation of each sample on 3M-Petrifilm, samples were prepared to be plated on M-endo agar.

Using a vacuum, samples for M-endo agar were first filtered through sterile NEO-GRID membrane filters with a pore size of 0.45µm. 1 L of all UV treated samples for inflowing water to the channels was poured directly through the membrane filter; the large volume was to

accommodate for the low coliform/*E. coli* population present. 1 ml of all other samples were dispensed into 99 ml of phosphate buffer and poured through the filter to ensure an even distribution. These samples were first tilted back and forth 5 times before being poured into the filter system. Using sterile forceps, filters were placed in a petri dish containing M-endo agar under a bio-safety cabinet. Plates were incubated at 35°C for 48 h to be enumerated.

Confirmation tests

Confirmation tests were done on samples from week 6; this was done to both 3-M petrifilm and M-endo agar plates by selecting 6 plates of each. Due to the presence of 2 distinguishable types of colony growths on each media, only plates with both types were chosen. 3 colonies of each type were randomly chosen from different quadrants of the plate to be confirmed. Colonies were sampled using sterile wooden applicator sticks; this was done within a bio-safety cabinet by touching the end of the applicator stick to a chosen colony. The applicator stick was then dropped in a test tube of Lauryl Tryptose Broth (LTB) containing a Durham tube. LTB tubes were then incubated at 35°C for 48 h to check for gas production according to standard methods.

Sampling of lettuce and detection of internalization

Lettuce samples were taken from the center of each channel to limit the risk of contamination through the splashing of water from other channels. Samples were cut approximately 1 cm above the vermiculite substrate using scissors previously sterilized with 70% ethanol. Scissors were cleaned with ethanol between sampling of each channel and allowed to

1 air-dry. Lettuce from each channel was placed in a sterile plastic bag and was weighed out to 6
2 grams. Bags were sealed shut and placed on ice to be transported back to the lab for analysis.

3 Under UV light, lettuce was dipped and swirled in a solution containing deionized water,
4 10% bleach, and 3 drops of dish soap for approximately 10 seconds. They were then dipped
5 similarly in four containers of deionized water, successively. UV light was turned off and lettuce
6 was minced with a sterile scalpel blade and placed in a 50 ml sterile plastic tube containing 10 ml
7 of phosphate buffer. Samples were then homogenized beginning with samples grown in UV
8 treated water to reduce the risk of cross contamination. Homogenizer was cleaned using 70%
9 ethanol and rinsed in deionized water between each sample. 800 µl of each sample was placed on
10 large petri dishes (150 x 15mm) containing M-endo agar using a micro pipette. Samples were
11 distributed using a large stainless steel cell spreader while spinning the petri dish on a petri dish
12 turntable. The cell spreader was dipped in ethanol, flamed, and allowed to cool between plating.
13 Plates were incubated at 35°C for 48 h to be enumerated.

14 Subsequent colony growths were randomly selected (6 per a plate) for confirmation
15 testing as previously done with the water samples. Entire agar medium was then swabbed with a
16 sterile cotton swab and placed in LTB to check for any sign of coliform bacteria.

17 18 *Statistical analysis*

19 All statistical analyses were done using SAS JMP 11 software. Data for 3-M Petrifilm
20 were standardized to a volume of 1 Liter before analyzing. Comparison of means, including
21 mean Log reduction from treatment and all p-values, were done using Tukey's HSD multiple
22 comparison method.

23

RESULTS AND DISCUSSION

Water sample analysis on 3-M Petrifilm

Two distinguishable types of colonies were evident on the 3-M petrifilm. Both large, red colonies (expected) and small, light-red colonies were distinguished. Over the duration of the study, there was an increase in bacterial load (Figure 1). This increase was seen for large colonies in the UV treated water entering the channels (channel in), as well as for small colonies in both the UV treated water entering (channel in) and leaving (channel out) the channels. By week 6, the amount of small colonies present in the UV treated water entering and leaving the channels had a higher mean than that of the corresponding untreated water (Figure 1). This phenomenon is most likely related to accumulation of particles in the pipes and channels or to the resulting biofilm formation.

The mean difference between treated and untreated water for large colonies was significant for both water entering and leaving the channels ($p < 0.0001^*$) (Figure 2A, Table 1). As for small colonies, the mean difference was not significant for water going into or leaving the channels (Figure 2B, Table 1). A much greater variation within the treated water samples can be seen with the small colonies as compared to the large colonies (Figures 3A & 3B). Due to the observed properties of higher UV resistance seen in these smaller colonies, as compared to the expected larger colonies, there is evidence that the majority of these smaller colonies are not coliform bacteria. As expected, there was no significant difference between water samples taken from the head-box and the tail-box regarding both large and small colonies. This confirms that the fish did not significantly contribute to the presence of either colonies in the water.

Confirmation tests that were done for randomly chosen small and large colonies gave a percentage much lower than expected. For large colonies, only 22.22% of the randomly chosen colonies produced gas in the LTB to be confirmed as true coliforms; whereas, the smaller colonies had a much lower confirmation of 5.56% as true coliforms. Once again, this indicates that the majority of these colonies are not coliforms.

The 3-M petrifilm was also used to distinguish the presence of *E. coli* resulting in a blue colony formation. The mean count for *E. coli* present in both the head-box and tail-box was 1 CFU/ml. Of the untreated water samples taken during the duration of the study, 7 out of 16 samples going into the channels tested positive for *E. coli* as high as 5 CFU/ml, and 8 out of 16 samples leaving the channel tested positive for up to 4 CFU/ml. Only 1 out of 32 samples of UV treated water showed *E. coli* presence at 2 CFU/ml in the water leaving the channel on week 0.

Water sample analysis on M-endo agar

According to standard methods, green colonies represent coliforms on M-endo agar with the exception of false-positive green colonies and false-negative red colonies. Both green and red colonies were distinguished and enumerated separately. All green and red colony counts for water going into the channels stayed relatively consistent throughout the duration of the study. However, red colonies in the treated water leaving the channels increased over the 6 week duration and were slightly higher than that of the untreated water (Figure 4), resembling similar characteristics to the small colonies seen on the 3-M petrifilm (Figure 1).

The mean reduction from UV treatment was significant for green colonies entering and leaving the channels ($p < 0.0001$) (Figure 5A, Table 2). The mean reduction in red colonies only showed to be significant for water going into the channels ($p < 0.0001^*$) and was not significant

for water leaving the channels ($p = 0.053$) (Figure 5B, Table 2). This shows further evidence that the majority of these red colonies are not coliforms. The mean difference between the head-box and tail-box was insignificant for both green and red colonies ($p = 1.0$ and $p = 0.98$, respectively) (Figures 5A & 5B, Table 2). A much greater variation within the treated water samples can be seen with the red colonies as compared to the green colonies (Figures 6A & 6B).

Confirmation tests done for randomly chosen green and red colonies gave a much higher confirmation than that of the 3-M petrifilm, while still lower than expected. For green colonies, 47% of the randomly chosen colonies produced gas in the LTB to be confirmed as true coliforms. For the red colonies, 30% tested positive for true coliforms. When calculating the total amount of coliforms based off of green colonies detected on m-endo agar, adjusting it by the percentage confirmed, and standardizing it to CFU/100ml, it was found that untreated water contained a mean of 8.39×10^2 CFU/ml of true coliforms while treated water entering and leaving the channels had a mean of 1.89×10^{-1} and 9.92×10^1 CFU/ml, respectfully.

These results demonstrate the effectiveness of UV-radiation in aquaponic systems receiving mixed water sources. The UV system used in this study was specifically chosen to inactivate total coliforms yet still leave enough remaining in the water to enumerate. The UV system recommended by Emperor Aquatics for appropriate inactivation of coliforms had a stronger out-put of UV-dosage.

Analysis of lettuce

During preliminary studies, filtration of lettuce particles through a series of sieves was unsuccessful. When homogenized lettuce samples were directly plated, coliforms were easily identified. However, the direct inoculation of the homogenized lettuce in this experiment lead to

excessive debris on the agar, as well as a discoloration of the applied sample. This dark-red and black discoloration may have been bacterial growth at large concentrations. Some individual black colonies were seen and 3 from each plate were sampled for confirmation; the color of these colonies was most likely a result of the decaying lettuce debris. The plates were then swabbed in hopes that if any coliforms were present, it would show up in the confirmation tests. None of the colonies or swabs tested positive in the confirmation tests. No detection of internalization was found; although, techniques in this experiment could be improved to eliminate the lettuce debris from the samples in order to increase the sensitivity of the test. This can be done using filter bags in a bag mixer and/or centrifugation of the samples.

The resulting high levels of total coliforms and *E. coli* found in the water indicate fecal contamination of warm-blooded animals (pathogenicity of *E. coli* strands found was not determined). Despite evidence of internalization with coliforms such as *E. coli* O157:H7, and other enteric pathogens (*Salmonella spp.*) in studies that inoculated growing substrates (soil, water, and/or manure) of leafy greens, this study did not provide supporting evidence (Solomon, Yaron & Matthews, 2002; Macarisin, Patel & Sharma, 2014).

CONCLUSIONS

With the exception of the small colonies, a significant reduction was observed with all other types of colonies in treated water going into the channels tested on 3-M petrifilm. Only green colonies from M-endo agar and large colonies from 3-M petrifilm showed a significant reduction from UV light in the water leaving the channels. Despite using a UV light system below manufacturer recommendations for the given flow rate and turbidity of the water, the system still showed to be very effective in coliform and *E. coli* reduction. The system was not

cleaned during the study in order to limit disturbances of particles inside the unit or the plumbing. Cleaning the system between samples may have created large spikes in coliform concentrations seen. This was also done to monitor the effectiveness of the system over time without intervention. Confirmation tests showed that the large colonies from the 3-M Petrifilm resembled true coliforms most accurately and that a 3-Log reduction over the course of 6 weeks can be seen in a system such as this without any maintenance to the UV system. Though no internalization was seen with the lettuce, techniques could be improved with how the lettuce was sampled. Internalization may also be a phenomenon only seen in specific circumstances. However, UV light irradiation of coliforms in water does show to be an effective treatment; given the proper system and adequate maintenance.

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- 1 **Figure 1.** Detected small and large colonies (Log CFU/ml) on 3-M petrifilm over 6 weeks in both UV treated and untreated water for
 2 water flowing into and leaving the channels (channel in & channel out). Lines represent geometric means over time.

1 **Figure 2A.** Mean Log count + (SE) for large colonies on 3-M petrifilm (CFU/ml) at various points. Different letters on the top of data
2 bars indicate significant differences ($p < 0.0001$).
3

1 **Figure 2B.** Mean Log count + SE for small colonies on 3-M petrifilm (CFU/ml) at various points. Different letters on the top of data
2 bars indicate significant differences ($p < 0.0001$).
3

- 1 **Figure 3A.** Represents variance of large colonies seen on 3-M petrifilm within different points of the location where water was
 2 sampled (Log CFU/ml). Line within the box represents the median, the upper and lower quadrants represent 75th and 25th percentile,
 3 respectively, while the whiskers of the box represent maximum and minimum data points.

- 1 **Figure 3B.** Represents variance of small colonies seen on 3-M petrifilm within different points of the location where water was
 2 sampled (CFU/ml). Line within the box represents the median, the upper and lower quadrants represent 75th and 25th percentile,
 3 respectively, while the whiskers of the box represent maximum and minimum data points.

- 1 **Figure 4.** Detected green and red colonies (Log CFU/L) on M-endo agar over 6 weeks in both UV treated and untreated water for
 2 water flowing into and leaving the channels (channel in & channel out). Line representative of geometric mean.

1 **Figure 5A.** Mean Log count + SE for green colonies on M-endo agar (CFU/L) at various points. Different letters on the top of data
2 bars indicate significant differences ($p < 0.0001$).
3

1 **Figure 5B.** Mean Log count + SE for red colonies on M- endo agar (CFU/L) at various points. Different letters on the top of data bars
2 indicate significant differences ($p < 0.0001$).
3

- 1 **Figure 6A.** Represents variance of green colonies seen on M-endo agar within different points of the location where water was
 2 sampled (Log CFU/ml). Line within the box represents the median, the upper and lower quadrants represent 75th and 25th percentile,
 3 respectively, while the whiskers of the box represent maximum and minimum data points.
 4

Figure 6B. Represents variance of red colonies seen on M-endo agar within different points of the location where water was sampled (Log CFU/ml). Line within the box represents the median, the upper and lower quadrants represent 75th and 25th percentile, respectively, while the whiskers of the box represent maximum and minimum data points.

Figure 7A: Representative diagram of aquaculture facility.

1 **Figure 7B:** Representative diagram of aquaculture greenhouse.
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Table 1. Mean Log difference $\pm$ standard deviation between treated and untreated water at different sampling points for colonies found on 3-M petrifilm. Given p-values found using Tukeys HSD.

Point	Mean Log Difference of Colonies (CFU/ml)			
	Large	p-Value	Small	p-Value
Channel in	1.25 ± 0.22	< 0.0001	1.0 ± 0.42	0.0896
Channel out	1.25 ± 0.15	<0.0001	0.60 ± 0.34	0.585
Head & Tail	0.06 ± 0.22	1.0	0.02 ± 0.2	1.0

Table 2. Mean Log difference $\pm$ standard deviation between treated and untreated water at different sampling points for colonies found on M-endo agar. Given p-values found using Tukeys HSD.

Point	Mean Log Difference of Colonies (CFU/ml)			
	Green	p-Value	Red	p-Value
Channel in	3.68 ± 0.19	< 0.0001	2.41 ± 0.20	< 0.0001
Channel out	1.09 ± 0.20	< 0.0001	0.75 ± 0.30	0.0533
Head & Tail	0.08 ± 0.42	1.0	0.35 ± 0.58	1.0

**CHAPTER 2: Internalization of *E. coli* O157:H7 in Hydroponically Grown Lettuce with
Randomized Injury Afflicted on Roots**

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INTRODUCTION

The frequency in which *Escherichia coli* O157:H7 has been isolated from fresh produce has increased in recent years (Solomon, Yaron & Matthews, 2002). Less than 1% of reported food borne outbreaks with known food sources was linked to fresh produce in the 1970's. That number has risen to over 6% in the 1990's (Deering, Mauer & Pruitt, 2012). Despite safe agricultural and postharvest practices, there has also been an increase in food borne outbreaks of *E. coli* O157:H7 associated with leafy greens (Macarisin, Patel & Sharma, 2014). Of specific interest, literature (Solomon, Yaron & Matthews, 2002) shows that it is possible for *E. coli* O157:H7 to have the ability to internalize in the edible portions of fresh produce. Research has shown *E. coli* O157:H7 to internalize in lettuce plant tissue through transmission from contaminated manure and irrigation water in soil grown plants (Solomon, Yaron & Matthews, 2002). Similarly, it has been seen with *Salmonella enterica* in tomato plants (Zheng, Allard, Reynolds, Millner, Arce, Blodgett & Brown, 2013). In hydroponically grown spinach, *E. coli* O157:H7 reportedly used plants' roots as the principle route of internalization (Koseki, Mizuno & Yamamoto, 2011). Internalization of enteric pathogens in produce is of particular concern as it may pose a safety risk in which washing and surface sanitation methods are unlikely to be effective (Gomes, Da Silva, Moreira, Castell-Perez, Ellis & Pendleton, 2009).

Hydroponics and aquaponics are both growing industries. Hydroponics is the act of growing plants in a nutrient solution root medium (U.S. Department of Agriculture, 2014); whereas, aquaponics is the combination of plant and fish production using hydroponics and aquaculture (Timmons, & Ebeling, 2007). The FDA has proposed guidelines for good agricultural practices (GAPs) for all produce farms to follow. These GAPs include worker hygiene, wildlife and domestic animal exclusion methods, and prevention of contact between the

1 edible portion of the plant and the culture water. Some commercial produce buyers are starting to
2 require for their supplying farms to undergo third-party audits to minimize the chance of a food
3 borne outbreak. However, this is sometimes arbitrary when these guidelines do not differentiate
4 between the practices of soil and soilless grown crops (Fox, Tamaru, Hollyer, Castro, Fonseca,
5 Jay-Russell & Low, 2012). Although freshwater fish can harbor enteric pathogens commonly
6 found in warm-blooded animals, their micro-flora is a reflection of their aquatic environment
7 (Al-Harbi, 2003; Fox, Tamaru, Hollyer, Castro, Fonseca, Jay-Russell & Low, 2012). However,
8 some systems may have fecal contamination due to the origin of their source water and/or fecal
9 contamination from wildlife or domestic animals (Fox, Tamaru, Hollyer, Castro, Fonseca, Jay-
10 Russell & Low, 2012).

11 One risk factor associated with fecal contamination of water is the ability for *E. coli*
12 O157:H7 to survive for extended periods. A study which inoculated Bottled spring and mineral
13 waters with a 10-strain cocktail of *E. coli* O157:H7 at log₁₀ 3.24-6.54 cfu/ml found a survival of
14 >300 days along with evidence of biofilm formation on the container. The nature of these Gram
15 negative organisms allows for them to survive in water on traces of organic matter (Jay, Loessner
16 & Golden, 2005). In a study using effluent water from a waste water treatment plant to
17 hydroponically grow lettuce, the roots of lettuce plants were found to concentrate thermotolerant
18 coliforms, *E. coli*, and total coliforms (Keller, 2005). Along with organic matter, these roots
19 provided a surface for microbial growth and biofilm formation (Keller, 2005). This study
20 investigated the possibility of this using *E. coli* O157:H7 and red sails lettuce (*Lactuca sativa*) in
21 a hydroponic system. The purpose was to determine not only the likelihood and magnitude at
22 which internalization can happen within a hydroponic system but also the effect on potential

internalization if the roots are damaged, possibly creating a large opening in which high concentrations of the pathogen can possibly access the vascular system of the plant.

MATERIALS AND METHODS

Hydroponic Tank

A polystyrene transplant tray purchased from Pentair was sanitized with ethanol and air dried under UV light in a bio-safety cabinet. A V-shaped cut was made underneath the center column to allow for air bubbles to escape, limiting their collision with the plant roots. Vermiculite was autoclaved and packed into the cells (1 sq.in., 2.5 in. deep) of the tray. Lettuce (*Latuca sativa* ‘Red Sails’) seeds were planted in each cell (2 per cell) with the exception of the middle column, on day 0. The tray was then placed in a plastic 10 gallon nontransparent tank. The tank was previously cleaned, then sanitized with a solution of ethanol, and left to air dry under UV light in a bio-safety cabinet before being filled with 27 L of sterile water. Importantly, this apparatus was left in the bio-safety cabinet for the duration of the study. A line was made on the inside of the tank to monitor loss of water; subsequently, distilled water was added when the level was visibly below the line. Water was only added post-sampling. Denim cloth was then placed over the gap between the edges of the polystyrene tray and the plastic bin to shield the water from the growth lights. A second smaller sized control tank was setup in a similar fashion, only filled with 12 L of distilled water.

A constant supply of air was pumped into the tanks to simulate conditions often seen in aquaponic and hydroponic system, providing motion of the water, even distribution of nutrients

and microbial population, and oxygen. A 100 gallon Penn-Plax Whisper air pump feeding into a sterile syringe filter (0.2µm membrane) using 1/4" vinyl tubing ran air into a 4-valve manifold. From this the air was distributed evenly to both 12" air diffusers at the bottom of each tank. Each tank had a 22" 15 watt T8 fluorescent plant grow light placed two inches above the trays. As the lettuce grew, lights were raised accordingly. A one-part nutrient liquid hydroponic fertilizer (FloraNova Grow) was dispensed into each tank according to the recommendations of 0.625 ml/L at the time of seeding. The nutrient concentration in the water was monitored and measured as an overall value in ppm using a Primo 2 EC and TDS tester (HI 70032) by Hanna Instruments.

Growing conditions

Temperature of both water and air were monitored. pH was kept between 6.5-7.5 and adjusted accordingly with 1M NaOH or HCl. Concentrations of the hydroponic fertilizer were periodically monitored, as was pH, each day water samples were taken (Table 1) and stayed between 661 and 816 PPM. The bio-safety cabinet was kept open 10 inches to allow for airflow. Grow lights were kept on constantly due to them being on the lower level of required light for plant growth. The lights were only turned off when the tank water was accessed to prevent harmful UV light emissions from coming in contact with the microbial population.

Inoculation and monitoring of *E. coli* O157:H7

Escherichia coli O157:H7 ATCC strain 35150 (hereafter called *E. coli*) was used in this experiment. One ml of *E. coli* was added to 19 ml of tryptic soy broth (TSB) and incubated over

night at 37°C. 20 ml of the above was then added to 380 ml of TSB and incubated overnight to obtain the desired volume of viable, pure culture cells (10^9 CFU/ml). On day 0, 270 ml of this was added to the 27 L tank (10^7 CFU/ml). Viable cells were grown in TSB using a shaker incubator and were added when cell count dropped below 10^5 CFU/ml by first adding them to 90 ml of phosphate buffer to promote even distribution.

Cell count was monitored every day until the system stabilized in which it was then done in correlation with the cell death rate observed. This was done by inoculating serial dilutions of the tank water on 3-M Petrifilm EC (*E. coli*/coliform plate). One ml of the water containing *E. coli* was placed in 99 ml of phosphate buffer, tilted 5 times to ensure even distribution, and then plated on 3-M Petrifilm (1 ml). Four more 10^{-1} serial dilutions were made and plated. Petrifilm was incubated for at 37°C for 48h; afterwards, colonies were counted according to standard methods. Control water was also plated without diluting to check for contamination. This was done every day until *E. coli* population stabilized; which it was then done according to previously recorded reduction rate. Water samples were taken from both tanks on days 1-11, 14-17, 22, 23, 24, 27, 30, 35, 44, 52, 61, and 73. Additional *E. coli* grown in TSB (10^9 CFU/ml) was added on days 4, 5, 10, 13, 16, and 18 at volumes 2, 3, 3, 3, 1, and 2 ml to the tank containing *E. coli*, respectively.

Injury to lettuce roots

To check if injury to the roots of the lettuce plants would affect the degree of internalization, half of the cells containing lettuce plants growing in the tray containing *E. coli* were randomly selected to receive injury. To access the roots, the tray was carefully lifted up out

of the water and placed on a stand directly above the tank. The tips of the roots of the selected plants were cut 3 consecutive times using a sterile pair of scissors over the course of twelve days, starting 16 days before harvesting. Some of the plants roots did not grow through the vermiculite to reach the water until after the first cut and consequently only received partial treatment (2 cuts).

Harvest and sterilization of lettuce

To check for internalization of *E. coli* within the lettuce, the edible portions of the plants were harvested and prepared for inoculation on 3-M Petrifilm. The lettuce plants were cut at the stem, approximately 1 cm above the vermiculite, and placed in a labeled bag according to their cell after being weighed. Under UV light, each were dipped and swirled in a solution containing deionized water, 10% bleach, and 3 drops of dish soap for approximately 10 seconds. They were then dipped similarly in four containers of deionized water, successively. UV light was turned off and lettuce was minced with a sterile scalpel blade and placed in a 50 ml sterile plastic tube containing 10 ml of phosphate buffer. This was done for all samples from both the tank containing *E. coli* and the control tank. Two samples from the control tank were done the same way to verify they had no association with *E. coli*. Two other samples from the control tank were first dipped in water containing *E. coli* (10^5 CFU/ml) before being sanitized to show effectiveness of the technique.

The samples were then processed into a liquid form to be plated. Each sample was homogenized until there was no reduction in particle size seen. This was done in the order of the control samples being first, and ending with the samples that were dipped in the *E. coli* water;

1 this minimized the risk of cross-contamination. The homogenizer was rinsed in 70% ethanol and
2 washed in 5 successions of phosphate buffer between each sample. The samples were then
3 filtered using a bag mixer for 60 seconds in 207 ml sterile filter bags (330 micron pores) to
4 reduce remaining particles from the liquid. The filtered liquids were pipetted to individual 50 ml
5 sterile centrifuge tubes with plug caps. Each sample, pertaining to which cell the lettuce grew,
6 was plated on 3-M Petrifilm using 1 ml of 10^0 , $(2)10^2$, 10^3 , and 10^4 dilutions. Petrifilms were
7 then incubated at 37°C for 48hrs to be counted and analyzed.

8

9 *Statistical Analysis*

10 All statistical analyses were done using SAS JMP11 software. Data were first
11 standardized to CFU/g and then converted to Log10 before taking the means. For comparing
12 treated to untreated plants, the p-value was found using Dunnett's method for comparisons with
13 a control. Tukeys HSD was used to compare untreated plants with both 2-cut treated and 3-cut
14 treated plants.

15

16 **RESULTS AND DISCUSSION**

17

18 *Analysis of Growth Conditions*

19 The pH of the control tank initially dropped below 6.5 and was brought up to 7.10 by day
20 4 with 1M NaOH. This was most likely due to uneven distribution of the nutrients in the
21 fertilizer from its sludge-like property. After day 4, the pH of the control tank was 6.90 (± 0.05)

and the pH of the tank containing *E. coli* was 7.45 (± 0.02). The concentration of fertilizer was between 733-661 ppm for the control tank and 816-728 ppm for the *E. coli* tank. It was decided not to add fertilizer during the experiment unless the plants looked malnourished or there was a considerable drop in concentration, which was not seen.

The growth lights were initially on for 8 hours/day for the first 14 days. On day 14 it was evident that the light source was insufficient, detected with a slight drooping of the leaves, and was resultantly left on 24 hours a day to compensate. The temperature of the water and air remained at a constant $24^{\circ}\text{C} \pm 0.5$ and $23^{\circ}\text{C} \pm 1$, respectively.

Microbiological analysis of water

Water samples were taken from both tanks to assess the concentration of *E. coli*. Additional *E. coli* grown in TSB (10^9 CFU/ml) was added to the tank containing *E. coli* when needed. The concentration of *E. coli* in the respective water showed a concentration as low as 10^4 CFU/ml (days 3 and 4) and as high as 10^7 CFU/ml (day 1). The average concentration of *E. coli* within the tank was 10^6 CFU/ml (Table 1). There was no *E. coli* detected in any of the water samples from the control tank.

It was necessary to add viable cells at higher concentrations than what was calculated in order for the volume of water to obtain the desired increase of microbial concentration. This was thought to be from a high initial death rate of the newly added *E. coli* due to the limited nutrients available and the high concentration of the established *E. coli* population already conditioned to the environment. Therefore, larger amounts of newly added cells were necessary in order to compensate for this initial drop upon addition. As time went on, the death rate of the established

microbial community seemed to decrease and addition was needed less often in order to keep the concentrations desired for the experiment.

Microbial Analysis of Lettuce

The two samples taken from the control tank that were not inoculated on the surface with *E.coli* were processed identically to the other lettuce samples. Neither of these samples showed any signs of *E. coli* growth on the selective media. This verified that there was no cross-contamination to the plants as well as no *E. coli* within the original seed. Both of the control samples dipped in the tank water containing *E. coli* (10^5 CFU/ml) before processing resulted in concentrations that were too few to count (TFTC). This verified the sanitation technique used and resulted in a 4-5 Log reduction of *E. coli* on the outer surface of the lettuce. It can be assumed that the lettuce plants growing in the tank that contained *E. coli* did not have a surface concentration of *E. coli* this high, if any at all, due to the precautionary measures taken to prevent plant contact with the water.

All samples from the tank containing *E. coli* were plated both directly and as a series of dilutions; this assured that each sample provided a plate within countable limitations, even for samples with high microbial concentrations. Counted CFU's were then standardized to CFU/g of lettuce and statistically analyzed to compare effectiveness of treatment. There was no significant difference between treated and untreated samples. Samples that had no injury manually exerted to their roots ranged from undetectable levels to 1.51×10^3 CFU/g with a mean value of 1.11×10^1 CFU/g. Samples that were subjected to have 2 cuts applied to their roots showed a range from undetectable levels to 4.90×10^4 CFU/g with a mean value of 8.66 CFU/g. Samples that were

1 subjected to have 3 cuts applied to their roots ranged from undetectable levels to 5.37×10^3 CFU/g
 2 with a mean value of 8.12×10^1 CFU/g (Table 2, Figure 1). These numbers are shown in Table 2
 3 as Log based numbers due to the high standard deviation (SD) values seen. The largest mean
 4 difference between the injured plants as compared to the uninjured plants was seen in the plants
 5 subjected to having 3 cuts applied to their roots; having a mean difference $\pm$ standard error (SE)
 6 of 7.34 ± 5.25 CFU/g, and a p-value of 0.4650 (Table 2, Figure 2). In the plants that received 2
 7 cuts because of their late manifestation into the water, the mean amount of internalized *E. coli*
 8 was seen to be slightly lower than that of the uninjured roots with a mean difference of $1.28 \pm$
 9 5.89 CFU/g (Figure 2). This group had the sample with the highest observed CFU/g, while the
 10 rest of the samples had no *E. coli* detected (Table 2). This may have been from the shorter roots
 11 leaving less surface area and a shorter duration below the water surface.

12 This study provides supporting evidence of the possibility for *E. coli* O157:H7 to
 13 internalize in lettuce. Previous studies have shown similar effects in both soil and soilless grown
 14 leafy green produce without investigating the effect of plant root damage (Deering, Mauer &
 15 Pruitt, 2012; Keller, 2005; Solomon, Yaron & Matthews, 2002) Even though the lettuce plants
 16 with injury applied to their roots had the three highest *E. coli* concentrations seen, there was no
 17 significant difference seen among the groups (Figure 3). This may be in part due to the injuries
 18 occurring naturally within the tank to all of the plants. During the times in which the growing
 19 tray was lifted to cut the plant roots, broken pieces of roots were already in the tank. This was
 20 most likely due to the fragile nature of red sail lettuce plant roots along with the disturbance
 21 caused by the oxygen mist bar in the water; despite the efforts made to reduce this effect.
 22 Phenomena such as this can be expected in the commercial and agricultural setting of

hydroponics but may have contributed to the high numbers of internalized *E. coli* within the plants not subjected to manual injury.

CONCLUSIONS

E. coli O157:H7 was found to internalize in many of the plant samples. In some cases, this was seen to be as high as 3-4 Logs per gram of lettuce and as low as undetectable levels, showing the variation in which this may occur (Figure 1). The sample size for plants chosen to have injury inflicted was reduced as some of the plants' roots did not reach the water after the first treatment (cut) and had to be placed into a separate treatment group. Even though there was no significant difference of internalization between the injured and un-injured plants, there was a visual difference seen that could possibly show to be significant with a larger-scale hydroponic system (larger sample size). Important to note, some plants were damaged within the system itself. The suspected cause of this is due to the aeration of the water. This aeration may also have had an effect on the amount of internalization found within the untreated group. Further investigation should be done to determine the effect root injury to a plant may have on bacterial internalization.

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- 1 **Figure 1:** Descriptive analysis showing variation between data points for the Log of *E. coli* O157:H7 per gram of lettuce subjected to
2 injury. Line within the box represents the median, the upper and lower quadrants represent 75th and 25th percentile, respectively, while
3 the whiskers of the box represent maximum and minimum data points.

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1 **Figure 2:** Mean Log + SE of *E. coli* O157:H7 per gram of lettuce with amount of injury administered. Different letters on the top of
2 the data bars indicate significant difference ($P < 0.05$).
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1 **Figure 3:** Mean Log + SE of *E.coli* O157:H7 per gram of lettuce subjected injury. Different letters on the top of the data bars indicate
2 significant difference ($P < 0.05$).
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Table 1. Concentration of *E. coli* in the water for each day it was sampled and volume of pure culture added at various time intervals (10^9 CFU/ml).

Day	E. coli in water (CFU/ml)	Pure Culture Added (ml)
1	2.30E+07	
2	3.00E+06	
3	2.00E+04	
4	4.00E+04	2
5	3.00E+05	3
6	5.00E+05	
7	3.00E+05	
8	3.00E+05	3
9	6.00E+05	
10	8.00E+05	
11	4.00E+05	3
12	1.00E+06	
13	1.00E+06	
14	3.00E+05	1
15	4.00E+05	
16	4.00E+05	2
17	4.00E+05	
18	2.00E+05	
19	8.00E+05	
20	9.00E+05	
	1.00E+06	
	2.00E+05	
	1.00E+05	

Table 2. Statistical measures including highest seen concentration of *E. coli* (Max CFU), mean values $\pm$ standard deviation (SD), and mean difference $\pm$ standard error (SE).

<i>E. coli</i> O157:H7 Log (CFU/g)				
Injury Applied	Mean $\pm$ SD	Max CFU	Mean Difference $\pm$ SE	p-Value
2 Cuts (n = 5)	0.9377 $\pm$ 2.10	4.69	0.1062 $\pm$ 0.77	0.9896
3 Cuts (n = 6)	1.9096 $\pm$ 1.78	3.73	0.8657 $\pm$ 0.72	0.4650
Untreated (n = 15)	1.0439 $\pm$ 1.13	3.18		