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# Nuclear Aurora-A kinase-induced hypoxia signaling drives dissemination and metastasis in breast cancer.

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**Nuclear Aurora-A kinase-induced hypoxia signaling drives dissemination and metastasis in breast cancer.**

**Kristina Marinak Whately**

**Dissertation submitted to the School of Medicine at West Virginia University**

**in partial fulfillment of the requirements for the degree of**

**Doctor of Philosophy in Cancer Cell Biology**

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# **ABSTRACT**

# <span id="page-2-0"></span>**Nuclear Aurora-A kinase-induced hypoxia signaling drives dissemination and metastasis in breast cancer.**

## **Kristina M. Whately**

Metastatic breast cancer causes the vast majority of cancer-associated deaths, especially in triple negative breast cancers (TNBC). TNBC is still poorly understood and has no effective treatment. Here we reveal that presence of Aurora-A Kinase (AURKA) in the nucleus and metastatic dissemination are molecularly connected through HIF1 (Hypoxia induced factor-1) signaling. The nuclear AURKA in the complex with constitutively expressed HIF-1β subunit activates transcription of "hypoxia induced genes" under normoxic conditions (the phenomenon called pseudohypoxia) without upregulation of oxygen-sensitive HIF-1α subunit. We uncover that AURKA preferentially binds to and phosphorylates HIF-1β, and co-localizes with HIF complex on DNA. The mass spectrometry analysis of AURKA complex further confirmed presence of CBP and p300 along with other TFIIB/RNApol II components. Importantly, expression of multiple HIF-dependent genes including migration/invasion, survival/death and stemness induced by nuclear AURKA promote early cancer dissemination. These results indicate that nuclear pool of AURKA, but not cytoplasmic, is a novel driver of early metastatic dissemination. Analysis of clinical tumor specimens revealed a correlation between HIF-1α and AURKA levels and an association of their co-expression to decreased patient survival. Our results establish a mechanistic linkage between two key pathways in cancer metastasis, identifying nuclear AURKA as a critical upstream regulator of HIF-1 transcription complex, and a target for anti-metastatic therapy.

# **DEDICATION**

<span id="page-3-0"></span>This work is dedicated to my husband, Chester Whately, who has given me his constant support. You are my best friend. Your love, friendship, humor, and patience has gotten me through this period in our life and I am excited for what is to come.

# **ACKNOWLEDGEMENTS**

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# **GLOSSARY**

<span id="page-9-0"></span>PMN- pre-metastatic niche

CTCs- circulating tumor cells

ECM- extracellular matrix

EMT- epithelial to mesenchymal transition

MMPs- matrix metalloproteinases

TGFb- transforming growth factor beta

VEGF- vascular endothelial growth factor

BC- breast cancer

ER- estrogen receptor

PR- progesterone receptor

HER2- human epidermal growth factor receptor

TNBC- triple negative breast cancer

AURKA- Aurora Kinase A

AURKB- Aurora Kinase B

AURKC- Aurora Kinase C

TPX2- targeting protein for Xklp2

NEDD9- neural precursor cell expressed, developmentally down-regulated 9

PAK1- p21 activated kinase 1

PP1- protein phosphatase 1

APC/C- anaphase-promoting complex/cyclosome

ARID3A- AT-rich interaction domain 3A

PUF60- poly(u) binding splicing factor 60

E4TF1- GA binding transcription factor alpha subunit

TRAP220- thyroid hormone receptor associated protein 220

MED1- mediator complex subunit 1

EGFR- epidermal growth factor receptor

STAT5- Signal transducer and activator of transcription 5

TCF4- transcription factor 4

Myc- MYC proto-oncogene

FOXM1- forkhead box m1

hnRNP Q1- Heterogenous nuclear ribonucleoprotein Q1

PUM2- Pumilio RNA Binding Family Member 2

LIMK2- lim domain kinase 2

ALDH1A1- Aldehyde Dehydrogenase 1 Family Member A1

YBX1- y-box binding protein 1

USP2a- ubiquitin-specific protease-2a

PKC- protein kinase c

KCTD12- Potassium Channel Tetramerization Domain Containing 12

RASSF1A- Ras Association Domain Family Member 1

AKI- aurora kinase inhibitor

FAK- focal adhesion kinase

PLD2- Phospholipase D2

Src- SRC Proto-Oncogene

Akt- protein kinase b

MET- mesenchymal to epithelial transition

CD44- homing [cell adhesion molecule](https://en.wikipedia.org/wiki/Cell_adhesion_molecule)

MAPK- mitogen-activated protein kinase

GSK-3β- Glycogen synthase kinase 3

PI3K- [Phosphoinositide 3-Kinase](https://www.sciencedirect.com/topics/biochemistry-genetics-and-molecular-biology/phosphoinositide-3-kinase)

Wnt- Wingless-related integration site

NFκB- Nuclear Factor kappa-light-chain-enhancer of activated B cells

GICs- glioma initiating cells

CD24- cluster of differentiation 24

WT- wild-type

NES- nuclear exclusion signal

NLS- nuclear localization signal

hnRNPk- Heterogenous nuclear ribonucleoprotein k

HIFs- hypoxia inducible factors

PHDs- HIF prolyl hydroxylase

FIH- Hypoxia Inducible Factor 1 Subunit Alpha Inhibitor

VHL- Von Hippel-Lindau Tumor Suppressor HRE- hypoxia response element CBP- CREB Binding Protein P300- Histone acetyltransferase p300 Tie2- Angiopoietin-1 receptor PDGF- platelet-derived growth factor ITGA6- integrin alpha-6 CXCR4- C-X-C Motif Chemokine Receptor 4 ADM- Adrenomedullin

# **CHAPTER 1: Literature Review**

### <span id="page-12-1"></span><span id="page-12-0"></span>**Metastasis Overview**

Metastatic disease is responsible for 90% of cancer-related deaths. Metastasis is the process of cancer cells escaping their primary site and traveling through the body to a different secondary site. The metastatic process consists of multiple steps: establishing a pre-metastatic niche (PMN), invasion, intravasation, extravasation, and colonization (1) (Figure 1). The PMN is microenvironment changes that occur in the secondary organ before circulating tumor cells (CTCs) arrive to form the metastatic niche. Early PMN changes like vascular leakiness and recruitment of special cells are caused by secreted factors and extracellular vesicles from tumor cells (2). The second step is invasion and enhanced motility of the tumor cells. Invasion involves changes to the extracellular matrix (ECM), allowing cells to move through tight, and constricting spaces. Many changes occur to cell morphology and internal signaling, allowing cells to use different types of migration and detach or adhere from other cells and matrices (1, 3). The invasion step is directly involved with intravasation, as it is the process of cells entering into a vascular or lymphatic compartment. At this point, cells need to develop plasticity to adapt to the different environments and forces they will be exposed to in a vascular compartment. It is known that some cells require the genetic switch to a mesenchymal phenotype, using the epithelial to mesenchymal transition (EMT). Still, once entering the vascular compartment, they may switch back to epithelial (4). The EMT process may not be required for all cancer cells to metastasize, but cells usually display plasticity as they are going through this process (1, 4). Cells also must be able to enhance survival mechanisms during this stressful process

to circulate through the vasculature and ultimately extravasate into the new tissue/organ environment. Extravasation requires activation of multiple cytoskeleton processes to aid in adhesion of the tumor cell to the endothelial cells, disruption of the endothelial layer, and migration (5). Platelets, neutrophils, and macrophages also help promote tumor cell extravasation by releasing certain factors (MMPs, TGFb, VEGF) that mediate the interaction between tumor and endothelial cells (5). The final step of the metastatic cascade is colonization and growth. This new environment, called premetastatic niche (PMN), must support the tumor cell survival even before its arrival. The tumor cells may need immune cells and/or secreted factors in the environment to allow for colonization and growth (1); without such factors, tumor cells are subjected to a long-term dormancy period. There are specific patterns of metastasis across different cancer types; this is supported by Dr. Paget's "seed and soil" hypothesis (6). This hypothesis states that tumor cells that are successfully metastatic, the "seed," interact with the microenvironment, the "soil". The crosstalk of the cell and microenvironment will influence the metastatic site (7).



**Figure 1. The Metastatic Cascade.** *A process is consisting of invasion, intravasation, extravasation, and colonization. There are immune cells and stromal cells in the tumor, which help to inhibit immune response and facilitate angiogenesis and stromagenesis. Tumor cells will invade and migrate to leave the primary tumor and intravasate into the blood vessel. Interaction with other immune/blood cells in the vessel allows for survival of disseminating tumor cells in suspension under sheer pressure; the tumor cell will leave by extravasation and form colonies at distant organs- brain, bone, liver, or lungs. The figure was created with BioRender.com.*

## <span id="page-14-0"></span>**Breast Cancer Metastasis**

Breast cancer (BC) is classified into different subtypes based on molecular and histological characteristics, essential for determining therapy treatments. Tumors are grouped based on the expression of estrogen receptor (ER), progesterone receptor (PR), and/or human epidermal growth factor receptor (HER2), and also the lack of overexpression of those receptors, which is triple-negative breast cancer (TNBC). The clinic uses five classification groups currently: Luminal A (ER+,PR+,HER2-), Luminal B-HER2- (low ER+, PR+, HER2-), Luminal B-HER2+ (low ER+, PR+, HER2+), HER2 enriched (ER-, PR-, HER2+), and Triple Negative (ER-, PR-, HER2-) (8). The HER2 enriched and Triple Negative subtypes are most aggressive with increased proliferation, higher grade, and genetic stability. Tumors that overexpress ER, PR, or HER2 generally respond well to receptor-targeted therapies, have an intermediate to good prognosis, and a 5-year survival rate above 80% for regional disease. TNBC tends to have a poor prognosis due to the aggressive phenotype, lack of targeted therapies, and a 5-year survival rate of 65% for regional disease (SEER data).

Metastatic breast cancer is an incurable disease that is the ultimate cause of death in BC patients. The 5-year survival rate for patients with distant metastases significantly decreases to 30-40% for Luminal or HER2 expressing tumors and 11% for TNBC (SEER data). The most common metastatic sites for breast cancer include bone (67%), lymph nodes (30-50%), liver (40%), lungs (36%), brain (12%), peritoneal cavity (10%), and contralateral breast (6%) (8). It is interesting to note that the different subtypes of BC have different patterns of metastasis; due to their genetic alterations, not all subtypes have the same percentage of metastasizing to the bone (8). Metastatic disease recurrence occurs at different time points with Luminal or HER2 tumor occurrence at 5-10 years; however, TNBC occurrence is within two years (8).

Metastasis research is ultimately asking the question of what causes a tumor cell to metastasize. Even though extensive research is being done, there is still no exact answer to this question. The metastatic process is very complex, as described above. The

molecular tumor classifications bring additional complexity to the process. Identifying factors that could determine if a tumor cell will metastasize and what makes them metastatic is the goal of this field.

#### <span id="page-16-0"></span>**Aurora Kinase A in Cancer**

Aurora Kinase A (AURKA) is a mitotic kinase among family members Aurora B and Aurora C. Its canonical functions include: regulation of centrosome maturation and separation, mitotic entry, bipolar spindle assembly, chromosome alignment, and cytokinesis (9). Under non-pathological conditions, AURKA localization is only documented at the centrosomes. In mitosis, AURKA can also be detected on the microtubules of mitotic spindles. AURKA protein and its activity gradually increase as the cell progresses from the S phase to G2 to Mitosis in the cell cycle. Still, the decrease and inactivation of AURKA is required for mitotic exit (9). The autophosphorylation of T288 residue is necessary for AURKA activation. This autophosphorylation is activated by binding partners (TPX2, NEDD9, Ajuba, and PAK1) via a conformational change in AURKA (10). AURKA inactivation is done by protein phosphatase 1 (PP1). The PP1 dephosphorylates AURKA enabling ubiquitination by anaphase-promoting complex/cyclosome (APC/C) and proteasomal degradation (10).

AURKA was identified as an oncogene in 1998 after two studies showed AURKA amplification, overexpression, and transformation activity in colorectal cancers and breast cancer cell lines (11, 12). Amplification of AURKA DNA on chromosome 20q13 has been documented in multiple cancer types, including breast (13), bladder (14), and ovarian (15) tumors. There is also evidence of increased protein expression and mRNA expression in tumors and cell types (12, 16). High AURKA expression positively correlates with proliferation marker, Ki67, poor overall survival, and recurrence of TNBC patients (17). It was also shown to correlate with increased pathological states and predicts poorer prognosis in lung cancer (18) and glioblastoma (19). The amplification and overexpression of AURKA leads to chromosomal instability, aneuploidy and transformation of normal cells (12, 20). The oncogenic AURKA is not restricted to localize at the centrosomes but has a diffuse cytoplasmic localization (16, 21). There is also more recent evidence showing AURKA localization in the nucleus (22-24).

### <span id="page-17-0"></span>**Regulators of AURKA**

Many positive and negative regulators of AURKA contribute to transcriptional, translational, protein stability, and kinase activity regulation (25). Because overexpression and amplification of AURKA is needed for its oncogenic role, the regulators summarized below are positive regulators of AURKA. Regulators that promote AURKA transcription include ARID3A (26), PUF60 (27), E4TF1 (28), TRAP220/MED1 (29), EGFR/STAT5 (30), β-catenin/TCF4 (31), Myc (32), and FOXM1 (33). These transcriptional regulators bind to the designated regions in the AURKA promoter and increase AURKA transcription (mRNA). The translation of AURKA was shown to be upregulated by hnRNP Q1 through binding to AURKA's 5'-UTRs (34). Multiple proteins protect AURKA from degradation, increasing its stability, including NEDD9 (35), TPX2 (36), PUM2 (37), LIMK2 (38), Twist (39), ALDH1A1 (40), YBX1 (41), and USP2a (42). The kinase activity of AURKA is regulated through autophosphorylation of Thr-288 (9). Several proteins assist AURKA in this process, including NEDD9 (35), TPX2 (43), PUM2 (37), PKC (44), PAK1 (45), Ajuba (46), KCTD12 (47), and RASSF1A (48). There are 432 unique AURKA interactors currently documented in the literature (BioGrid). The list is growing, supporting the

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fundamental role this protein plays in cellular physiology under normal and pathological conditions. Regulators of AURKA are summarized in Figure 2.



**Transcriptional Regulation** 

**Figure 2. Positive regulators of AURKA regulate transcription, protein stability, and kinase activity.** *Figure adapted from Du et al. (25). Figure was created with BioRender.com.*

## <span id="page-18-0"></span>**Inhibition of AURKA kinase activity**

Several small-molecule compounds have been discovered to inhibit the kinase activity of AURKA (25). Some are specific to AURKA, but others have pan-specific effects on the Aurora kinase family (AURKA/AURKB/AURKC). These molecules are termed Aurora Kinase Inhibitors or AKIs. One of the most extensively studied AKIs with strong specificity to AURKA is MLN8237 (Alisertib) (49). MLN8237 was shown to be 200-fold more selective to AURKA than AURKB kinase and very effective at reducing tumor growth in multiple xenograft models (49). MLN8237 is currently in multiple clinical trials for various cancer types (clinicaltrials.gov), and the majority of these studies are in combination with other drugs. The AKIs enhance the efficiency of other drugs when used in combination (50- 53). Due to the importance of AURKA in mitosis, the AKIs in the clinic cause significant adverse side effects in patients. Among reported adverse effects, the most common were fatigue, nausea, and neutropenia (54). Hence, there is ongoing research to use nanoparticle technology to encapsulate AKIs for targeted tumor delivery to decrease adverse side effects (55).

## <span id="page-19-0"></span>**Non-Canonical Cytoplasmic AURKA**

The cytoplasmic (non-centrosomal) localization of oncogenic AURKA allows interaction with and phosphorylation of numerous cytoplasmic proteins, leading to its noncanonical cytoplasmic functions: migration and invasion, stem-like phenotype, EMT, proliferation, and survival (25). Note that these studies did not consider the presence of nuclear AURKA. Thus, it is possible that observed phenotypes at least partly were driven by the nuclear and not cytoplasmic pool of AURKA.

<span id="page-19-1"></span>**Invasion/Migration:** AURKA was shown to enhance migration and invasion through phosphorylation of key cytoskeleton substrates. In immortalized fibroblasts, AURKA expression was shown to increase migration by phosphorylation of FAK, PLD2, and interaction with Src leading to increased tubulin polymerization (56). In head and neck cancer, AURKA increased migration and invasion through phosphorylation of Akt and FAK (57). Other mechanisms of AURKA-driven increase in migration and invasion involve phosphorylation/activation of cortactin (58), Akt1 (59), Src (60), and the cofilin-F-actin pathway (61). Phosphorylation of targets is summarized in Figure 3.



**Figure 3. AURKA interactors leading to increased invasion and migration.** *They are either dependent on AURKA phosphorylation or protein interaction to become activated. This Figure was created with BioRender.com.*

<span id="page-20-0"></span>**EMT:** The epithelial to mesenchymal transition (EMT) and mesenchymal to epithelial (MET) are important processes that allow cells to have the plasticity to switch from epithelial to mesenchymal molecular pathways (62). This is important in metastasis to enable cells to leave the primary tumor, enter the vasculature, survive, and exit to other distant organs. Those steps require different molecular pathways to be active/repressed to be successful (62). AURKA was shown to phosphorylate Twist at three different residues, further increasing the expression of other EMT markers: CD44, Slug, and Snail (39). Alternatively, AURKA can induce EMT through MAPK phosphorylation leading to the upregulation of EMT signature genes in multiple models (63, 64). Inhibition of AURKA was shown to reduce expression of key transcription factors regulating EMT such as Twist, Snail/Slug and markers of EMT such as N-cadherin and increase E-cadherin, suggesting a transition from EMT to MET. This group also showed that AURKA inhibition led to decreased Akt and GSK-3β phosphorylation (decreased PI3K/Akt and Wnt/β-catenin pathways) and led to increased histone methylation at the Twist promoter (65). Induction of EMT also leads to increased invasion and metastasis, indicating that AURKA can regulate these processes directly via phosphorylation of FA, Src, Cofillin, tubulin etc., or indirectly via activation of EMT transcription factors.

<span id="page-21-0"></span>**Stemness:** The expression of AURKA positively correlates with cancer recurrence (17), which is often driven by the presence of cancer stem cells (CSCs) (66). In ovarian CSCs, therapy resistance and recurrence were shown to be dependent on AURKA-driven NFκB signaling. The inhibition of AURKA led to cell death and an increase in nuclear IκBα, which sequesters NFκB inhibiting its activity (67). In glioma initiating cells (GICs), AURKA regulates their self-renewal by phosphorylation/stabilization of β-catenin, leading to Wnt pathway activation (68). In breast cancer cells, overexpression of AURKA led to an increase in CD44+/CD24- cell population and increased mammosphere formation (33). Similarly, with the depletion of AURKA, there was a decrease in CD44+/CD24- population and mammospheres (33). In colorectal CSCs, AURKA is overexpressed and regulates the tumorigenic ability of these cells due to genomic instability (69). Silencing AURKA expression led to growth inhibition and sensitivity to chemotherapeutic agents (69),

indicating the significant therapeutic potential of AURKA targeting in combination with chemotherapy.

AURKA is also known to interact with oncogene Myc – a transcription factor that contributes to many oncogenic processes, including stemness (70). AURKA-dependent phosphorylation was shown to stabilize N-Myc via inhibition of its degradation (71, 72), resulting in the continued proliferation of Myc-addicted cancer cells. The protein and transcript expression of AURKA and Myc has been shown to correlate in certain cancers (71), indicative of a positive feedback loop and regulation on the transcriptional level (32, 73). Due to the feedback loop and stability of the AURKA-Myc complex, the two oncogenes seem to enhance tumorigenesis, which led to studies investigating the inhibition of this complex (74). Cancer stem cells have self-renewal, tumor-initiating, and colonizing capabilities due to their stem cell-like gene signatures, including genes involved with EMT. AURKA's vast regulation in stemness, EMT, migration, and invasion show the importance of AURKA in the metastatic cascade.

#### <span id="page-22-0"></span>**Non-canonical Nuclear AURKA**

More recently, AURKA localization in the nucleus was reported in biopsies across multiple types of cancer, including oral squamous cell carcinoma (24), esophageal squamous cell carcinoma (22), ovarian carcinoma (75), and lung cancer (23). It is important to note that in these samples, along with nuclear AURKA, there is also a diffuse cytoplasmic AURKA staining. In ovarian cancer, it was shown that overexpression of nuclear AURKA led to worse overall survival and correlated with higher stage, higher grade, and larger tumor size (75). There have been only a few studies evaluating the role of nuclear AURKA, and those point towards nuclear AURKA being more oncogenic than cytoplasmic AURKA (76). The authors used a functional Ras-induced transformation assay to determine the oncogenic potential of WT-AURKA (nuclear and cytoplasmic) or NES-AURKA (nuclear exclusion signal, cytoplasmic). The results suggested that only cells with nuclear AURKA but not cytoplasmic AURKA possess the cell transformation activity and cooperate with Ras (76). Following this initial observation, a few more studies had been conducted using AURKA overexpression models and documented the function of nuclear AURKA promotion/activation of transcription (33, 73, 77). Recalling the Myc-AURKA relationship, Myc was shown to transcriptionally upregulate AURKA (32), while AURKA was shown to transcriptionally upregulate Myc (73). In a model of hepatocellular carcinoma (HCC) in which cells have nuclear AURKA, depletion of AURKA led to decreases in Myc mRNA and protein levels. Chromatin immunoprecipitation (ChIP) assays further revealed that AURKA binds to Myc promoter regions (73), suggesting for the first time that AURKA possesses transcriptional functions and transcriptional domains. These results were confirmed in breast cancer cells discovering the hnRNPk protein as the necessary DNA-binding partner of AURKA at the Myc promoter (77). In a similar model of BC, AURKA transactivates transcription factor FOXM1 (33), which in turn positively re-enforces AURKA transcription, creating yet another positive feedback loop. AURKA and FOXM1 were shown to co-localize in the nucleus by immunofluorescence and interact with each other by coimmunoprecipitation (33).

These recent studies have shown that nuclear AURKA regulates and augments breast cancer stemness (33, 77). The study done by Zheng et al. (77) establishes the importance of nuclear AURKA expression in supporting the stem cell population. The depletion of AURKA resulted in a decreased population of stem cells (CD24<sup>low</sup>/CD44<sup>high</sup>

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cells). When utilizing the model of inducible AURKA in the cytoplasm by an NES tag or WT-AURKA found in both compartments, researchers found that cells with only cytoplasmic AURKA had lost stem-like properties as manifested by the decrease in mammosphere size and number are decreased. Having AURKA in both nuclear and cytoplasmic compartments is needed to increase the stem cell population and mammosphere forming ability, suggesting that the nuclear pool of AURKA enhanced stem cell function (77).

### <span id="page-24-0"></span>**Gaps in Nuclear AURKA Research**

A significant gap in nuclear AURKA research is the mechanisms of nuclear translocation of AURKA are currently unknown. It was shown that amino acids 333-383 of AURKA in the catalytic domain (amino acids 133-383) (78) are required for nuclear targeting (77). Although no NLS has been identified, suggesting that the nuclear localization is due to protein-protein interaction/s within this region. The authors also claim that amino acids 1-333 are responsible for cytoplasmic localization/retention (77). More research is needed to fill this gap in the nuclear AURKA field.

#### <span id="page-24-1"></span>**Hypoxia**

Hypoxia is a state of low oxygen, around  $1-2\%$  O<sub>2</sub> and below, compared to its normal oxygen level, termed normoxia (79). The normal oxygen levels of different tissues vary; for example, the breast has a median oxygen level of  $\sim$ 7%, but the brain is  $\sim$ 4% (80, 81). However, the tumor counterpart is much lower, with breast cancer  $\sim$ 1.3% O<sub>2</sub> and brain cancer  $\sim$  1.7% O<sub>2</sub> (80, 81). In the literature, it is widely accepted that 20% O<sub>2</sub> is considered normoxia levels for *in vitro* studies, even though normoxia levels in tissues are well below this (82). Hypoxia is one of the hallmarks of cancer and is associated with disease progression and dissemination (82, 83).

## <span id="page-25-0"></span>**Hypoxia Transcriptional Regulation**

As cells in the tumor become deprived of oxygen, they activate the hypoxia response signaling cascade to alleviate/compensate for this deficit. The induction of the hypoxia signaling cascade depends on hypoxia-inducible factors (HIFs), a family of transcription regulators. This family consists of alpha subunits (HIF1α, HIF2α, HIF3α) in which protein stability and degradation are dependent on oxygen sensors, prolyl hydroxylases (PHDs), and factor inhibiting HIF1 (FIH1) (84). The beta subunits (HIF1β and HIF2β) are oxygenindependent but required for heterodimerization and transactivation (85). In normoxia, HIFα subunits are hydroxylated by PHDs and FIH1 (84). The hydroxylated HIFs are then ubiquitinated by the E3 ubiquitin ligase, von-Hippel Lindau (VHL), and degraded by the proteasome (86). Due to HIF-α subunits being constantly degraded, the HIF-β subunits cannot heterodimerize, ultimately leading to a lack of gene transcription. In the hypoxia settings, the PHDs and FIH1 enzymes become inactive due to the low oxygen needed for their function and thus unable to hydroxylate the HIF-α subunits (84). The HIF-α protein accumulation results in heterodimerization of HIFα/β subunits and translocation into the nucleus (85, 87). In the nucleus,  $HIFα/β$  dimer binds to hypoxia response elements (HREs) on DNA/promoter regions in cooperation with other cofactors CBP/P300, initiating transcription of hypoxia target genes (88) (Figure 4).



**Figure 4. Normoxia and Hypoxia signaling in cells.** *In normoxia, PHD's hydroxylate HIF1A, which is then recognized by VHL to promote ubiquitination and degradation. HIF1B is not able to bind with HIF1A, resulting in no transcriptional complex. In hypoxia, PHDs become inactive, meaning HIF1A is not hydroxylated, thus increasing protein levels. HIF1A is able to bind HIF1B and translocate into the nucleus. HIF1B has NLS targeting it to the nucleus. The heterodimer binds to HRE regions and forms a complex with other cofactors CBP/P300, and transcription of HIF target genes is activated. The Figure was created with BioRender.com.*

## <span id="page-26-0"></span>**Hypoxia in Breast Cancer**

The HIF1α expression levels in cells and tissues are the most common read-out for hypoxia and activation of hypoxia signaling. It has been shown in multiple cancer types, including breast cancer, in which HIF1α expression correlates with cancer progression (89). It was also demonstrated that overexpression of HIF1α leads to poorer patient survival (89, 90). Interestingly, patients with elevated HIF1α levels show a faster onset of metastasis and metastases outgrowth. The HIF1α overexpression was detected in BC metastases more often than the primary tumor (89, 91), suggesting a strong involvement of HIFs in tumor progression and metastasis.

## <span id="page-27-0"></span>**HIF Target Genes**

Hypoxia is an important mechanism for cancer progression and metastasis, as it induces genes involved in angiogenesis, metabolism, invasion, transcriptional regulators, EMT, stemness, and more (92-94) (Figure 5). These genes, when activated, promote tumor cells to adapt to harsh (low oxygen/nutrients) conditions within the tumor microenvironment. Angiogenesis is an essential part of metastasis as it provides routes for tumor cells to escape and disseminate to other organs (95) and supply oxygen. Essential genes involved in angiogenesis and are regulated by HIF signaling include VEGF (96), angiopoietin1/2, Tie2 (97), and PDGF (98). HIF-induced genes that are involved with increasing invasion and stemness include adhesion molecules like ITGA6 (99), matrix-degrading enzymes like MMPs (100), cytokines like CXCR4 (101, 102), and ADM (103). These genes were shown to be induced by HIFs and contribute to inducing the metastatic cascade.



**Figure 5.HIF targeted genes.** *Hypoxia-inducible factor (HIF) targets various genes affecting tumor progression and metastasis. Figure adapted from Dengler et al. (93), Semenza (104), Tsai and Wu (92) and created with BioRender.com.*

## <span id="page-28-0"></span>**AURKA and Hypoxia**

There have been few studies showing a relationship between AURKA and hypoxia/HIF1α. The AURKA and HIF1α coexpression better correlate with worse overall survival than the single expression counterpart (105, 106). In neuroblastoma and hepatocellular carcinoma patients, induction of hypoxia was shown to increase AURKA protein and mRNA expression (106-108). However, opposite results were reported in one model of breast cancer where induction of hypoxia was shown to decrease AURKA mRNA expression and AURKA promoter activity. Knockdown of HIF1α leads to increased AURKA mRNA expression (109). These results suggest that regulation of AURKA by hypoxia may differ between cancer models/types. The role of nuclear AURKA in hypoxia/HIF signaling is currently unknown.

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# **CHAPTER 2: Nuclear Aurora-A kinase-induced hypoxia signaling drives dissemination and metastasis in breast cancer: implications for treatment of metastatic tumors**

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## **Abstract**

Metastatic breast cancer causes most breast cancer-associated deaths, especially in triple negative breast cancers (TNBC). The metastatic drivers of TNBCs are still poorly understood, and effective treatment non-existent. Here we reveal that the presence of Aurora-A Kinase (AURKA) in the nucleus and metastatic dissemination are molecularly connected through HIF1 (Hypoxia-Inducible Factor-1) signaling. Nuclear AURKA activates transcription of "hypoxia-induced genes" under normoxic conditions (pseudohypoxia) and without upregulation of oxygen-sensitive HIF1A subunit. We uncover that AURKA preferentially binds to HIF1B and co-localizes with the HIF complex on DNA. The mass spectrometry analysis of the AURKA complex further confirmed the presence of CBP and p300 along with other TFIIB/RNApol II components. Importantly, the expression of multiple HIF-dependent genes induced by nuclear AURKA (N-AURKA), including migration/invasion, survival/death, and stemness, promote early cancer dissemination. These results indicate that nuclear, but not cytoplasmic, AURKA is a novel driver of early metastasis. Analysis of clinical tumor specimens revealed a correlation between N-AURKA presence and decreased patient survival. Our results establish a mechanistic link between two critical pathways in cancer metastasis, identifying nuclear AURKA as a crucial upstream regulator of the HIF1 transcription complex and a target for anti-metastatic therapy.

## **Introduction**

AURKA is a serine/threonine kinase responsible for centrosome maturation, separation, and spindle formation during mitosis (1). Normal localization of AURKA is at the centrosomes/cytoplasm (2). AURKA is also involved in the regulation of migration and invasion (3).

AURKA has recently been reported to localize in the nucleus in various cancers, such as colon (4), lung (5), and breast (6). Few reports demonstrate the oncogenic potential of nuclear (N-AURKA), but not cytoplasmic, AURKA in cooperation with Ras (7) and Myc (6) oncogenes. AURKA phosphorylates multiple nuclear proteins that promote carcinogenesis, including p53 (8), Akt (9), and NFkB (10), suggesting that these might be targets of nuclear AURKA.

High expression of AURKA was shown to correlate with earlier recurrence of TNBC in patients (11) and indicative of disease progression. The increased AURKA expression was established to promote metastasis by enhancing epithelial to mesenchymal transition (12), stemness (6), and invasion (3). Although expression of AURKA in the nucleus correlates with poor overall survival (13), the mechanism driving cancer progression associated with N-AURKA is currently unknown.

Hypoxia is a physical state of lower oxygen compared to normal oxygen levels (normoxia). When a cell experiences hypoxia, the hypoxia-inducible factors (HIFs) consisting of alpha/beta subunits (HIF1/2A, HIF1/2B) are stabilized. The HIFA/B heterodimer then translocates into the nucleus, where it binds to DNA on hypoxiaresponsive elements (HREs) (14), regulating transcription of genes involved in proliferation, apoptosis, angiogenesis, and metabolism (15). The association between HIF1 expression, hypoxia genes/signaling, and metastatic breast cancer has been previously documented (15-17).

Here we report, that N-AURKA via binding to HIF complexes activates hypoxia transcriptional programs independent of HIF1/2A stabilization in cells exposed to atmospheric oxygen (18). Transactivation of hypoxia genes leads to increased migration/invasion and stemness, enabling dissemination and metastases at distant organs without significant delay or dormancy. Inhibiting N-AURKA kinase activity with

Alisertib/MLN8237, AURKA specific inhibitor, significantly reduces metastatic colonization but does not block dissemination. Hence, using nuclear AURKA-targeting compounds in combination with HIF inhibitors might prove beneficial in treating metastases in TNBCs and other cancers. The presence of cells with N-AURKA could serve as a surrogate for metastatic cells and indicate early dissemination.

#### **Materials and Methods**

**Cell Culture, plasmids, and reagents.** Cell lines MDA-MB-231, BT-549, HCC1143, Hs578t, MCF7, MCF10A, SK-BR-3, and BT-474 were purchased from and authenticated by American Type Culture Collection**.** MDA-MB-231LN (PerkinElmer) were grown based on manufacturer's recommendations, low passage cells were used in the study. The sgRNAs, siRNAs, primers for site-directed mutagenesis is outlined in Suppl.Table S1. Details about lentivirus constructs/production/infection and reagents are outlined in Supplementary Methods.

**Western blotting (WB).** Cells/tumors were either lysed in Laemmli or M-PER lysis buffer (ThermoFisher) and processed as previously described(19). Proteins are normalized to loading controls (GAPDH or tubulin), n=2 biological/clones, n=2-3 experiments. Antibodies and dilutions are listed in Suppl.Table S2.

**Immunofluorescent cell analysis**. Immunofluorescence was performed as previously described (20). Images were captured using a Zeiss/LSM-510 confocal microscope with 63X Plan-Apochromat/NA1.4, oil objective. 3D-confocal images were processed using Zen and ImageJ/NIH software. Images inside each data set were collected with the same microscopy/image capture settings. Antibodies used are listed in Suppl.Table S2.

**Immunohistochemistry (IHC).** The detailed protocol for processing and analysis of Fluorescent-IHC and DAB-IHC is outlined in the Supplementary Methods.

**Tissue Microarray and Patient Data.** Breast cancer survival data were evaluated using the breast cancer gene chip data of the online databases Kaplan-Meier Plotter (21, 22), the best cutoff values were selected according to the defined high or low expression of AURKA, HIF1A/B, N-AURKA-signature genes. High-density breast cancer TMA BR2082 (US Biomax Suppl.Tables S3 and S4) were collected with full donor consent under the approved IRB protocol. The detailed protocol is outlined in the Supplementary Methods.

**Nuclear/Cytoplasmic Fractionation.** The detailed protocol is outlined in the Supplementary Methods.

**Cell viability analysis.** Guava/Viacount (Luminex) reagent and Guava Eascyte-HT Flow Cytometer (Millipore) were used for analysis of cell viability per manufacturer's instructions.  $1x10<sup>5</sup>$  cells/well in a 12-well plate were collected 24-120hr post-plating. Parameters for the flow cytometer were set using positive (live) and negative (dead) cells/controls. n=2 experiments with n=2 biological, n=2 technical replicates.

**Cell elongation and nuclear size analysis.**  $1x10<sup>4</sup>$  attached cells/well were stained with Hoechst33342 (ThermoFisher) and bright field images captured using Zeiss-Axio Imager-Z2 microscope, 20x Plan-Apochromat/0.80NA objective. Cell elongation was measured as a function of cell length divided by cell width in ImageJ software. 50 cells/condition quantified in 3-4 random fields with n=2 biological/clones, n=2 technical replicates.

**Live-cell imaging of individual cell invasion/migration.** Protocol and quantification methods were previously reported  $(23)$ . 2.5x10<sup>5</sup> cells were imaged with confocal microscopy, 2µm/steps. n=3 experiments with n=2 biological, n=2 technical replicates, and ≥50cells traced/per condition.

**Anoikis assay.** The detailed protocol is outlined in Supplementary Methods.

**Flow cytometry analysis.** The staining, collection and gating protocols outlined in Supplementary Methods. Antibodies used are listed in Suppl.Table S2.

**Mammosphere Assay.** Mammosphere assay was done as described (6). At day 10, mammospheres were imaged on ECHO Rebel microscope (VWR) at 4X and 10X. Analysis of sphere's diameter and number was done in ImageJ. n=2 experiments, n=2 biological/clones, n=3 technical replicates per group with 100 cells counted in 6-10 random field/condition.

**Orthotopic xenograft models of breast cancer.** Orthotopic injections, bioluminescent imaging, and ultrasound of xenografts were performed as described (24). All procedures were approved by the WVU Institutional Animal Care and Use Committee and followed guidelines established by the NIH. Details for the orthotopic experiments are outlined in the Supplementary Methods.

**RNA Sequencing and Bioinformatics.** Total RNA was isolated from cells (2 clones/construct) with RNeasy Mini-kit (Qiagen). The RNA sequencing data were generated by the WVU Genomics core facility and deposited to GEO with accession number GSE154494. The detailed protocol is outlined in the Supplementary Methods.

**Quantitative RT-PCR.** RNA (RNeasy Mini-Kit) was used for cDNA generation with MaximaH-Minus First-Strand cDNA-Synthesis kit (ThermoFisher). Custom Taqman-Array in 96-well format (AppliedBiosciences #4391524) was created based on RNA sequencing. The detailed protocol is outlined in the Supplementary Methods. Primer assays and sequences are listed in Suppl.Table S5.

**Mass Spectrometry.** The 6x10<sup>6</sup> cells (MDA-MB-231-NES/or NLS-AURKA) were used (2) clones combined/cell line). The detailed protocol is outlined in Supplementary Methods.

**Immunoprecipitation (IP) assay.** The detailed protocol for immunoprecipitation of AURKA is outlined in Supplementary Methods.

**Chromatin Immunoprecipitation Assay.** Chromatin immunoprecipitation (ChIP) was performed using ChIP-grade antibodies against human AURKA (BethylLabs, #IHC-00062) and the SimpleChIP Enzymatic ChromatinIP Kit (CellSignaling) according to manufacturer's instructions. The detailed protocol is outlined in Supplementary Methods. The primers used for ChIP qPCR analysis are listed in Suppl.Table S6.

**Statistical analysis.** Statistical comparisons were made using two-tailed Student's t-test, one-way or two-way analysis of variance (ANOVA). *P*≤0.05 was considered to be significant (\*). All groups were compared to empty-vector control/s unless mentioned otherwise. Experimental values were reported as the means with +/-S.E.M (standard error of mean), p-values are reported as adjusted, and calculations of statistical significance were made using Prism7 software (Graphpad Software). All experimental data sets reported here were collected from multiple independent experiments with multiple technical and biological replicas. The analyses were performed by staff on numbered, deidentified samples.

## **Results**

#### **Nuclear localization of AURKA correlates with disease progression and metastasis.**

AURKA overexpression in TCGA BC cohort correlates with poorer overall survival (**Suppl.Fig.1A-C**), but this dataset does not allow stratifying patients based on subcellular localization of AURKA. To fill this gap, a BC tissue microarray with over 200 patient samples (**Suppl.Table-S3**) was analyzed for AURKA localization and correlated with pathological stage and subtype (**Fig.1A-C**). The positivity of normal tissue and ductal carcinoma in-situ (DCIS) for N-AURKA is 0-3%. There is a ten-fold increase in positivity in invasive ductal (IDC), lobular (ILC) carcinomas, and metastases (MIDC-LN) (**Fig.1A-B**). The TNBC and HER2+ tumors show up to 12-20% of cells in the tumors with N-AURKA compared to 5% of ER/PR+ cases (**Fig.1C**). To evaluate the association between N-AURKA positivity in clinical samples and metastasis, we have procured a set of matched breast tumor-metastases biopsies along with biopsies from patients who had no metastases at the time of biopsy (IRB#WVU011113, **Suppl.Fig.1D**, **Suppl.Table-S4**). Spearman's rank correlation coefficient analysis indicated a strong correlation between N-AURKA positivity and metastatic outcomes (**Suppl.Fig.1E**, r = 0.8051, p<0.0001). Eleven of these biopsies were previously used to produce patient-derived xenograft (PDX) models (25). Similar to the original biopsy, the low/negative N-AURKA PDXs (PEN\_060, PEN\_061, PEN\_175, PEN\_181, PEN\_025) had no distant metastases, while N-AURKA high (PEN\_014, PEN\_056, PEN\_76, PEN\_116) developed metastases (**Suppl.Table-S4**), indicating that N-AURKA correlates with metastasis. The AURKA localization/expression in BC cell lines shows a correlation between nuclear AURKA positivity and metastatic potential of the cells (**Fig.1D-E**, **Suppl.Fig.1F-G**).

## **N-AURKA drives cancer migration/invasion but does not affect proliferation**.

To define the impact of N-AURKA on metastasis, cells with nuclear or cytoplasmic AURKA were produced. The cell lines (MDA-MB-231 and BT549) were made using CRISPR/Cas9 to knockout endogenous AURKA and replace with exogenous AURKA targeted to the nucleus (NLS), cytoplasm (NES), or no-localization signal (WT) (**Fig.1F-H, Suppl.Methods**). The cells expressing non-targeting sgRNAs and empty vectors were used as controls. *In vitro* cell proliferation/viability assay and mixed modeling analysis show no statistically significant differences in cell growth or death between NLS- and NES-AURKA cells (**Fig.2A**). The morphometric analysis of NLS-AURKA cells shows reduced cell elongation index and nuclei (**Fig.2B-D**). The 3D invasion assay in collagen shows that NLS-AURKA cells travel a significantly longer distance and are faster than other cells (**Fig.2E-H**). In agreement with previously published reports, the MDA-MB-231 cell viability was not compromised due to attachment loss (**Fig.2I**). Interestingly, all cells expressing exogenous AURKA were more resistant to anoikis, but no differences were observed between sublines. The analysis of the number and size of multi-cellular clusters at 48h post-seeding shows a significant decrease in the clumping/clustering potential of NLS-AURKA cells without effecting cell viability (**Fig.2J-K**), suggesting that clumping may not be necessary for the survival of these cells. These results indicate that cells with N-AURKA are highly invasive but do not possess enhanced proliferation or anchorageindependent capabilities.

#### **N-AURKA drives cancer cell stemness.**

The cell-surface antigens, CD24, CD44, and CD104, are used as cancer stem cell (CSC) markers (26, 27). Similar to previous reports, there is a decrease in the CD24+/CD44+, CD24+/CD104+ cells and a significant increase in CD24-/CD44+, CD24- /CD104- cells in NLS-AURKA cells, showing a shift to more stem-like (**Fig.2L-O**). A decrease was observed in the number of stained cells for CD24 and the mean fluorescent intensity (**Suppl.Fig.2A**), while CD44 mean fluorescent intensity was increased (**Suppl.Fig.2B-C**). Previously, FOXM1 transcription factor was reported to mediate the AURKA driven stemness (28). The analysis of stem cell markers shows no changes in CD24, CD44, or CD104 upon depletion of FOXM1 (**Suppl.Fig.2D-H**), suggesting that this activity of N-AURKA is FOXM1 independent. Similarly, analysis of mammosphere formation shows a significant increase in the number and diameter in NLS-AURKA cells (**Fig.2P-R**). Still, this activity was not affected by the depletion of FOXM1 (**Supp.Fig.2I**).

#### **N-AURKA induces hypoxia in xenograft models of breast cancer.**

To evaluate the effect of N-AURKA on tumor invasion and metastasis *in vivo*, the cells were injected into the mammary gland of immunocompromised mice (**Fig.3A**). There were no significant differences in the growth of mammary tumors between groups (**Fig.3B, Suppl.Fig.3A-B**). Even though tumors were of similar size/volume, there was a significant difference in tumor necrosis and vascularization (**Fig.3C-G**). Immunohistochemistry analysis of the tumors showed no significant difference in proliferation (Ki67) (**Suppl.Fig.3C-E**). The NLS-AURKA group showed a significant decrease in CD31 staining compared to the control (**Fig.3F-G**). To assess oxygenation of tumors, the hypoxia marker-pimonidazole was injected in mice before collection. Tumor analysis shows that over 40% of NLS-AURKA tumors were hypoxic (**Fig.3H-I**).

#### **N-AURKA expressing tumors are highly metastatic.**

The induction of hypoxia often results in metastasis (29). The analysis of distant organs shows over ten-fold increase in the number and size of metastases in mice bearing NLS-AURKA tumors compared to other groups (**Fig.4A-E, Suppl.Fig.4A-B**). The bone and liver metastases were present in all NLS-AURKA mice (100% penetrance rate) (**Fig.4C**), suggesting that NLS-AURKA cells have an increased proficiency to disseminate/grow at multiple distant sites.

#### **N-AURKA induces hypoxia signaling via upregulation of HIF-responsive genes.**

To identify the processes responsible for the increased metastatic potential, the gene expression profiles of cells were generated (GSE154494). The presence of AURKA in the nucleus was associated with a global transcriptional response (**Fig.5A**). Principal component analysis of RNA-seq data separated the samples into three groups (**Fig.5B**) with a significant number of genes differentially expressed in NLS-AURKA cells (**Fig.5C, Suppl.Fig.5A-B**). The expression of some targets was evaluated by western blotting and qPCR in MDA-MB-231 and BT-549 cells (**Fig.5E-F**, **Suppl.Fig.5D-F**). The N-AURKA gene-signature was confirmed by qPCR in tumors showing a similar gene expression profile (**Suppl.Fig.5G-I**). The Gene Ontology terms analyzed with the REViGO tool show that NLS-AURKA upregulates genes related to differentiation, motility/adhesion, hypoxia/oxygen, and protein phosphorylation (**Fig.5D**, **Suppl.Fig.5C**). Gene Set

Enrichment Analysis (GSEA) showed enrichment for genes involved in mammary stem cell development, EMT, and hypoxia (**Fig.5G**). The NLS-AURKA cells show upregulation of genes associated with the hypoxia-response, such as CXCR4, MMP1, ANGPT1, ARNT/HIF1Β, MMP14, ITGA6/CD49f, and ARNT2/HIF2B (**Fig.5H**). The IPA analysis of RNA-seq identified multiple upstream regulators of hypoxia signaling, including Hypoxia-Inducible Factor-1 alpha (HIF1A), NFκB, and tumor necrosis factor (TNF) to be activated in NLS-AURKA cells (**Fig.5I**). The N-AURKA-driven hypoxia gene signature correlates with a worse prognosis and high risk of relapse (**Suppl.Fig.5J-K**), suggesting that hypoxia genes identified in our study influence the disease progression.

#### **N-AURKA binds to HIF1**Α/Β **and transactivates hypoxia response genes.**

To understand how N-AURKA enables the transcription of hypoxia-response genes, protein expression of Hypoxia-Inducible Factors (HIFs) was examined. DMOG (dimethyloxalyl glycine) treatment was used as a positive control, leading to upregulation and stabilization of HIF1/2A. In normoxia, there were no differences in protein levels of HIF1/2A between the sublines (**Fig.6A-B**, **Suppl.Fig.6A-B**). The DMOG treatment led to increased HIF1A protein independently of AURKA localization. In contrast, HIF2A was not upregulated in DMOG-treated NLS-AURKA cells, suggesting differential action of AURKA on these proteins during hypoxia, but not normoxia. Consistent with RNA-seq results, a significant increase in HIF1/2B proteins was detected (**Fig.6A-B**, **Suppl.Fig.6A-E**). To determine if N-AURKA interacts with HIFs, we immunoprecipitated AURKA from cell lysates. The AURKA complex contained HIF1A and HIF1B proteins; NLS-AURKA expressing cells preferentially precipitated AURKA in a complex with HIF1B (**Fig.6C-D**). Next, the binding of AURKA to hypoxia-response gene promoters was assessed using chromatin immunoprecipitation (ChIP) assay. The CD49f/ITGA6, EPO, and CXCR4 genes were selected as they contain Hypoxia Response Elements (HREs) (30-32). ChIP for HIF1B was used as the positive control. The qPCR with FOXM1 promoter was used as a positive control for AURKA (28). ChIP qPCR results show N-AURKA binding to HREcontaining promoters of specific hypoxia genes, also occupied by HIF1B (**Fig.6E-F**). Other proteins that interact with N-AURKA on chromatin include hnRNPk, Aurora-B Kinase, Histone H3 and Histone H2B (**Fig.6F**). To map the protein interaction network of N-AURKA, we carried out LC-MS/MS analysis of AURKA-IP complex. The identified proteins were clustered based on the cellular compartment and biological function (**Fig.6G**). A large subset of proteins was nuclear and classified as DNA/RNA-binding. Notably, the two principal HIF transcription cofactors CBP and P300 were identified within the AURKA complex, along with RNA PolII and multiple proteins involved with the super elongation complex (**Fig.6H-I**).

#### **HIF1A/B is required to mediate N-AURKA-driven invasion.**

To test the role of HIF1A/B in mediating N-AURKA driven processes, we generated NLS-AURKA and control cell lines with depletion of HIF1A/B (**Fig.7A-B**). The 3D invasion/migration analysis shows a significant reduction in invasion of NLS-AURKA/siHIF1 cells as documented by the decrease in speed and distance (**Fig.7C-F**). The transactivation of hypoxia-signature genes was also significantly reduced upon depletion of HIF1A/B (**Fig.7G**). Interestingly, depletion of HIF1A/B led to a decrease in FOXM1 expression **(Fig.7H**). In comparison, depletion of FOXM1 did not change expression levels of HIF1A/B (**Fig.7I-J**), suggesting that the N-AURKA/HIF complex is upstream of FOXM1. Supporting this notion, mammosphere formation potential in NLS-AURKA cells was not affected by FOXM1 depletion (**Supp. Fig.2I**).

## **Inhibition of N-AURKA kinase activity decreases breast cancer metastasis.**

The orthotopic xenograft study was conducted using control and NLS-AURKA cells treated with AURKA-specific inhibitor, Alisertib/MLN8237 (**Fig.8A-B**). The tumor volume was not affected by Alisertib/MLN8237 treatment (**Fig.8C**), while metastases in the lungs and liver were significantly decreased (**Fig.8D-F**), suggesting that kinase activity might be required to enable metastatic colonization. The metastatic penetrance in the NLS-AURKA group was not affected by the MLN8237 (**Fig.8G**) indicating that dissemination of NLS-AURKA cells is kinase-independent. In summary, these findings support the model of N-AURKA-driven activation of hypoxia-response genes via interaction with HIF1 promoting metastasis (**Fig.8H**).

#### **Discussion**

AURKA has recently been found in the nucleus in multiple cancers (4-6, 33); however, the role of nuclear AURKA (N-AURKA) in metastasis is currently unknown. Here, we report that N-AURKA promotes metastasis. The AURKA subcellular distribution in BC-TMA shows accumulation in the nucleus correlating with more aggressive subtypes, invasive stage, and metastasis (**Fig.1A-C, Suppl.Fig1E**). The analysis of TCGA data indicates a strong correlation between levels of AURKA mRNA and poor outcomes (**Suppl.Fig.1A-C**). The NLS-AURKA induced hypoxia gene-signature correlates with decreased overall and relapse-free survival (**Suppl.Fig.5J-K**). In human breast cancer cell lines, the localization of AURKA in the nucleus closely correlates with the metastatic proficiency of the cells (**Fig.1D-E**, **Suppl.Fig.1F-G**).

The critical role of N-AURKA in metastasis was documented by the enhanced metastatic burden in orthotopic mouse models (**Fig.4**). The metastatic penetrance of NLS-AURKA cells is 100% in the lungs, lymph node, liver, and bone (**Fig.4C**) without the need for multiple rounds of selection (34, 35). NLS-AURKA upregulates genes that promote bone metastasis, including ADAMST1, FGF5, FST, CXCR4, IL-11, MMP1 (34). Note that tumor cells with cytoplasmic AURKA, found in non-transformed cells, have limited to no metastatic capacity (**Fig.2A**, **Fig.4**).

The increased metastatic capabilities are supported by changes in morphology, migration, and stemness. Cells with nuclear AURKA are round and small (**Fig.2B-D**), enabling fast movement throughout a constricted environment (36, 37), as in the invasion assay (**Fig.2E-H**). The upregulation of cytoskeleton (RHOJ, ARHGAP22, ARHGAP24, ELMO1, DEF6), adhesion (CADM2, SELL, ITGA6), matrix-degrading (ADAMTS5, ADAMTS1, MMP1, MMP14), and tight junction (CLDN4, CLDN11, CLDN12, CLDN23) proteins enables metastatic proficiency of N-AURKA cells (**Fig.5**). The decrease in extracellular matrix proteins (LAMB1, LAMC2) might explain the decline in cluster formation (**Fig.2J-K**). Interestingly, clustering disability did not affect the sensitivity to anoikis (**Fig.2I**) or metastatic proficiency (**Fig.4**), suggesting uncoupling of these processes.

The analysis of stem cell markers showed an increase in CD24<sup>-</sup>/CD44<sup>+</sup> and CD24<sup>-</sup> /CD104+ cells (**Fig.2L-O**) and a reduction in mean fluorescent intensity of CD24 (**Suppl.Fig.2A-C**). These findings agree with a recent study showing that N-AURKA promotes breast cancer stemness (6). The NLS-AURKA cells produced more and larger mammospheres, indicating an increase in stemness (**Fig.2P-R**). The depletion of FOXM1 was insufficient to change the stem cell markers or mammosphere formation (**Suppl.Fig.2D-I**). This activity of N-AURKA might be mediated by HIF1A/B, which in turn might upregulate FOXM1 (**Fig.7H-J**). These findings differ from previously published reports, using either an overexpression system (28) or NES-AURKA inducible system (6). Neither of those modeling approaches allows analysis of nuclear AURKA specific function directly.

The RNAseq analysis show changes in expression of hypoxia, transcription, and metastasis-related pathways (**Fig.5, Suppl.Fig.5**). It is well-established that hypoxia is an inducer of metastasis (38, 39). Our findings show that NLS-AURKA interacts with HIF1 and is a potent inducer of the hypoxia-response genes *in vitro* and *in vivo* (**Fig.6, Suppl.Fig.6**). Hypoxia signaling is primarily driven by hypoxia-inducible factors (HIFs). The activation/expression of HIF1A at diagnosis is predictive of early relapse and metastasis (40-43).

The NLS-AURKA-ChIP complex analysis and qPCR support the notion that AURKA is binding to HRE-containing promoters with HIF1A/B (**Fig.6E-I**). These changes are reported under normoxic conditions and could be further induced under hypoxia. A similar phenotype was observed in other breast cancer models (44). N-AURKA gene-signature changes were associated with metabolic reprogramming (HK1/2), angiogenesis (ADM, ANGPT1, EDN1, FECH), invasion (CXCR4, LOX, MMP1, MMP14, ITGA6, CSRP2), and proliferation (ENG, WT1, CCND1). Depletion of HIF1A/B in NLS-AURKA cells led to a reduction in migration/invasion and expression of the key hypoxia signature genes, suggesting that this metastasis-driving activity of AURKA is HIF1 dependent (**Fig.7A-G**). Note that not all genes connected to hypoxia were upregulated. Some canonical HIF1Ainduced genes PFKP, SNAI1, and NOS3 were downregulated, suggesting the involvement of additional factors affecting transcription (**Fig.5I**).

The mass-spectrometry analysis of the AURKA-complex identified p300/CBP and RNAPII complex proteins, supporting the role of N-AURKA in transcription (**Fig.6G-I**). These findings are in agreement with the previous reports showing AURKA binding to the MYC promoter (6). In concordance with this report, we show that nuclear AURKA binds to chromatin complexes that contain hnRNPk (**Fig.6F,H**). The global ChIP-seq analysis of AURKA has not been reported; therefore, many of the potential targets are still unknown.

Previously it was shown that transcription-related activities of nuclear AURKA are kinaseindependent. In our study, inhibition of N-AURKA leads to a significant decrease in metastatic burden **(Fig.8)**. Interestingly, the kinase activity was not required for dissemination but was critical for colonization of distant organs. Combining AURKA inhibitors with HIF-targeting compounds might prove to be even more beneficial in treating metastatic cancer (6, 25). A better understanding of the nuclear AURKA functions may enable discovery of new biomarkers for metastatic disease and therapeutic targets.

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**Conflicts of interest:** The authors declare that there are no conflicts of interest.

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#### **Figure Legends**

**Figure 1. Nuclear AURKA positivity correlates with metastasis and more aggressive breast cancer subtypes. A.** Representative images of tissue microarray IHC, (n=206) stained with AURKA/DAB-brown, hematoxylin-nuclei/blue. Scale bar-300µm. Insets-x250 enlarged areas. **B-C.** Quantification N-AURKA positive(+) cells as in (A), 3 randomly-assigned fields, n=100 cells/field. (**B**) Pathological stages (Normal=32, DCIS=24, IDC=72, MIDC-LN= 32, ILC=24) and (**C**) receptor-based subtypes (Normal=32, TNBC=39, HER2<sup>+</sup>=32, ER+/PR+=30). **D.** WB analysis of nuclear/cytoplasmic fractionations, as indicated. **E.** Quantification of AURKA in cytoplasm/nucleus, percentof-total, normalized to controls. **F.** Schematic outline of cell line production. **G-H.** Immunofluorescence and WB analysis of AURKA-sublines produced in (F) stained with AURKA(green), RFP(red), DAPI-nuclei/blue; clones indicated as c1/c2/c3. Scale bar-10µm. One-way ANOVA, +/-S.E.M, Dunnett's test, ns=non-significant.

**Figure 2. N-AURKA drives cancer migration/invasion but does not affect proliferation. A.** Cell proliferation/viability assay, mixed-effect model analysis on cell growth. **B**. Representative bright-field images of cells, scale bar-10µm. **C.** Cell elongation index and **D.** Nuclei size. **E.** Individual cell movement tracking-plots toward chemoattractant. Graphs of **F.** Total distance, **G.** Cell-body directionality, **H.** Cell speed. **I.** Quantification of anoikis (live/dead cells). **J. R**epresentative bright-field images of clusters, scale bar-50µm, **K.** Quantification of clusters as in (J); 3-6 randomly-assigned fields/per clone normalized to area, ≥40cells/clone. **L-O.** Representative flow cytometry dot-plots and quantification of CD24/CD44/CD104 positive cells. **P.** Representative images of mammospheres. Scale bar-50µm. Mammosphere quantification of **Q.** Diameter, **R.** # mammospheres/area. One-way ANOVA, +/-S.E.M, Dunnett's test, ns=non-significant.

**Figure 3. N-AURKA induces hypoxia in xenograft models of breast cancer. A.** Experimental design of xenograft study, n=2 clones/subline, n≥5 mice/group, n=2 experiments. **B.** Quantification of ultrasound-based tumor volume (mm<sup>3</sup>) plotted over time. Mixed-effect model analysis. **C.** Representative images of gross tumor pathology, scale bar-5mm. **D.** Tumor H&E images, inset-necrotic area, scale bar-1mm. **E.**  Quantification of necrosis/area in tumors. **F.** Representative tumor images of F-IHC staining with CD31, scale bar-100µm(top image), 50µm(inset). **G.** Quantification of CD31(+) staining area (%) as in (F) normalized to total area, DAPI/nuclei(blue), CD31(white). **H.** Representative tumor images of F-IHC staining with anti-Pimonidazole (Hypoxyprobe-FITC/green), scale bar-1mm(top image), 100µm(inset). **I.** Quantification of Pimonidazole(+) staining area (%) as in (H) normalized to total area, DAPI/nuclei(blue). One-way ANOVA, +/-S.E.M, Dunnett's test, ns=non-significant.

**Figure 4. N-AURKA expressing tumors are highly metastatic. A. Representative** DAB-IHC images of Lymph Node (LN) metastases stained with anti-RFP-antibody. Scale bar-100µm. **B.** Representative H&E images of lung and liver metastases; femur/sternum bone metastases stained with human-specific anti-mitochondria antibody. Metastases outlined in black. Scale bar-50µm(lung), 200µm(liver), 500µm(bone). **C.** Quantification of metastatic penetrance, as percent of mice which developed LN, lung, liver, and bone metastases. **D.** Quantification of number of metastases/area of lung, liver, or bone. **E.** Quantification of size of LN and lung metastases. One-way ANOVA, +/-S.E.M, Dunnett's test, ns=non-significant.

**Figure 5. RNA-seq profile of N-AURKA cells. A.** Heat-map of differentially expressed genes (DEGs, mean values) in cells. Gene expression (n=3167) is normalized log2 counts/million. **B.** Principal Component Analysis of RNA-Seq libraries as in (A). **C.** Volcano-plot analysis of RNAseq data as in (A) NLS-AURKA vs. control, log2 fold changes of gene expression on the x-axis, FDR statistical significance (−log10 p-value) on the y-axis; upregulated (red), downregulated (black) genes in NLS, all genes (blue). Genes with at least a >1.5 fold change and FDR<0.01 are displayed. **D.** Visualization of Gene Ontology terms for NLS-AURKA vs. control; up/downregulated GOterms (FDR<0.1) are depicted as circles; the distance indicates the relationship between terms: closer distance means higher similarity. Color and size of circles indicate significance of differential expression of an individual GO term in log10 p-value. **E.** WB analysis of selected RNA-seq target proteins as in (A). **F.** Quantification of WB in (E), fold change over control. **G.** GSEA comparison NLS-AURKA vs. control for selected GOterm gene sets. **H.** Heat-map of HIF-dependent DEGs. **I.** Predicted upstream regulators: activated (yellow), inhibited (blue) using IPA activation z-score. One-way ANOVA, +/-S.E.M, Dunnett's test, ns=non-significant.

**Figure 6. N-AURKA binds to HIF1A/B and promotes transactivation of hypoxiaresponse genes. A.** WB analysis of HIFs in cells with indicated antibodies, DMSOvehicle or DMOG for 7hr. **B.** Quantification of WB results as in (A), fold of change over DMSO-control. **C-D.** Representative images of Immunoprecipitation/WB analysis with indicated antibodies, WCL-whole cell lysate. **E.** Quantification of ChIP qPCR against selected promoter region, normalized to total input. **F.** WB analysis of ChIP (before decrosslinking). **G.** Mass spectrometry analysis of AURKA-IP complexes: Venn diagram displaying the numbers of proteins found in the NLS- or NES-AURKA complexes. The 850 proteins/yellow were further filtered, nuclear/blue. Pie-chart showing distribution of N-AURKA binding partners based on functional Panther Gene Ontology terms. Tables showing selected nuclear protein classifications for **H.** RNA-binding and **I.** DNA-binding from PANTHER Gene Ontology. One-way ANOVA, +/-S.E.M, Dunnett's test, ns=nonsignificant.

**Figure 7. HIF1A/B is required for N-AURKA-driven invasion. A.** WB analysis of HIFs in cells as indicated, treated with siRNA: control-scr or anti-HIF1A/B. **B.** Quantification of WB results in (A), fold of change over siScr. **C.** Individual cell movement tracking-plots toward chemoattractant. Cell lines as indicated. Graphs of **D.** Total distance, **E.** Cell-body directionality, **F.** Cell speed. **G.** qPCR analysis of select genes in control and NLS-AURKA cells with siScr and siHIF1A/B, fold change over control-siScr. **H.** qPCR analysis of FOXM1 in control and NLS-AURKA cells treated with siScr and siHIF1A/B, fold change over control-siScr. **I-J.** qPCR analysis of FOXM1, HIF1A, and HIF1B in control and NLS-AURKA cells treated with siScr and siFOXM1, fold change over control-siScr. HIF1B: (unpaired t-test: con-Scr vs NLS-AURKA-Scr). One-way ANOVA, +/-S.E.M. Tukey's test, ns=non-significant.

**Figure 8. Inhibition of N-AURKA kinase activity decreases breast cancer metastasis. A.** Experimental design of xenograft study, n=3 mice/group **B.** Quantification of Fluorescent-IHC using phospho-AURKA-T288 antibody in tumors. n=50 mitoticcells/tumor. **C.** Quantification of final tumor volume/mm3. **D.** Representative H&E images of lung metastases and DAB-IHC images of liver metastases stained with anti-RFPantibody. Metastases outlined by black line. Scale bar-50µm. **E-F.** Lung and liver metastasis penetrance in mice treated with vehicle or MLN8237. **G-H.** Quantification of metastases: number and area of metastases normalized to total area. One-way ANOVA, +/-S.E.M. Tukey's test. **I.** Model of Nuclear-AURKA-HIF1 mediated gene expression. Normoxia: HIF1A is hydroxylated/ubiquitinated by PHDs/VHL resulting in degradation. Hypoxia: low-oxygen stabilizes HIF1A leading to HIF1A/B dimerization/activation, transactivation of hypoxia-response genes. Normoxia+N-AURKA: HIF1A/B activity is increased leading to metastasis. MLN8237 inhibits AURKA activity decreasing metastasis.



Figure 1. Whately





Figure 3. Whately



**Figure 4. Whately** 





Figure 6. Whately



Figure 7. Whately



Figure 8. Whately

## **Supplementary Materials and Methods**

**Cell Culture, plasmids, and reagents.** Cell medium, supplements were purchased from ATCC, FBS (fetal bovine serum, VWR), Doxycycline (Sigma). MLN8237 was purchased from Sellekchem and dissolved in 5% DMSO, 30% PEG-300, and 5% Tween-80 in water. cDNA for human AURKA (Open Biosystems) was subcloned (XhoI/EcoRI) into pcDNA3.1-mRFP (Invitrogen) and pLUTz-mRPF (tet-inducible) vectors for cell culture experiments, generating pLUTz-mRFP-AURKA. To create pLUTz-mRFP-NLS-AURKA, pmRT2 plasmid was used as an intermediate to clone a NLS sequence (5'-CCTAAGAAGAAGCGTAAGGTC-3') to the N-term of AURKA by EcoRI and PstI restriction sites. A NES sequence (5'-

TTACAATTACCTCCTTTAGAACGTTTAACTTTA-3') was added to the C-term of AURKA cDNA by PCR to produce pLUTz-mRFP-NES-AURKA. The resultant plasmids pLUTZ-NLS/NES or no-Tag-mRFP-AURKA were expressed in MDA-MB-231 and BT-549 cell lines. To allow for sgRNA targeting of endogenous, but not exogenous AURKA, site-directed mutagenesis was done on AURKA cDNA constructs to create 4 silent mutations (MUT1, MUT2) interfering with sgRNAs targeting AURKA (sgAURKA1, sgAURKA2) (Fig.1F outlines cell line production). Sequences for cloning primers, mutagenesis primers, and sgRNAs are in Supplementary Table S1. pLenti PGK-V5- LUC/Neo (gift from Eric Campeau (1), RRID: Addgene\_21471), lentiCRISPRv2 (gift from Feng Zhang (2), RRID: Addgene\_52961), and pLUTZ-mRFP-AURKA were used to make lentiviral particles as previously described (3). Lastly, cells were transduced with LentiCRISPRv2-sgAURKA plasmids to knock-out endogenous AURKA. After each step cells were selected with either zeocin (Invivogen), puromycin (Mediatech Inc), or G418 (Cellgro). Clones were analyzed by DNA sequencing and western blot to confirm deletion of endogenous and expression of exogenous AURKA. siRNAs were introduced via nucleofection (Amaxa) according to manufacturer's recommendation and used in further assays 48 hours post nucleofection after confirmation of knockdown. siRNAs used are listed in Supplementary Table S1. For siRNA assays using siHIF1A and siHIF1B, they were combined for all assays, denoted as siHIF1A/B.
**Tumor Micro Array and Patient Data.** Immunohistochemical (IHC) procedures were done according to the manufacturer's recommendations (US Biomax Inc.) in duplicates. Manual scoring of staining positivity, as well as location and cell types was completed by an independent pathologist from US Biomax, Inc. Each core was scanned by the Aperio Scanning System (Leica Biosystems). The total number of positive cells and the intensity of AURKA staining were computed by Aperio Image- Scope10.1 software based on the digital images taken from each core. The de-identified patient information for BR2082 is listed in Supplementary Table S3. Breast Cancer biopsies were collected through the WVU Cancer Institute (IRB protocol # WVU011113) or Cooperative Human Tissue Network (NCI) in accordance with WVU and CHTN-approved IRB protocols. The de-identified patient information is listed in Supplementary Table S4. Nuclear/Cytoplasmic Fractionation. 5x10<sup>6</sup> cells were lysed in PTY buffer (50 mM HEPES, 50mM NaCl, 5mM EDTA, 1% Triton X-100, 50mM NaF) with protease/phosphatase inhibitors (ThermoFisher) for 10 min at 4°C and centrifuged to pellet nuclei. The supernatant was collected as the cytoplasmic fraction. The remaining nuclei-containing pellet was washed, centrifuged, and re-suspended in PTY buffer. The nuclei were disrupted by sonication followed by centrifugation. The resultant supernatant was used as the nuclear fraction.

**Fluorescent (F-IHC).** The tissue sections were processed for fluorescent immunohistochemistry (F-IHC) as previously described (4). IHC images were obtained using Olympus VS120 Slide Scanner microscope with 20X U Plan S Apo/0.75 NA objective. The images inside each data set were collected with the same microscopy and image capture settings and the raw data were used for image quantification. Percent staining was quantified by using area of positive stain divided by total area, done in ImageJ software using thresholding. Integrated density was quantified using ImageJ software. Antibodies used are listed in Supplementary Table S2.

**DAB-IHC.** The tissue sections were processed for immunohistochemistry (IHC) as previously described (5). Briefly, following antigen retrieval and blocking steps, sections were incubated in primary antibody for 60 min, followed by species appropriate

biotinylated secondary antibodies (Biocare Medical), and then streptavidin peroxidase (ThermoFisher). Stain was developed with 3,3′-diaminobenzidine substrate (ThermoFisher) and sections were counterstained with hematoxylin (Biocare Medical). As a negative control, adjacent serial sections were incubated with species appropriate non-specific IgG (Jackson ImmunoResearch). IHC images were obtained using Olympus VS120 Slide Scanner microscope with 10X Plan S Apo/0.40 NA objective. Antibodies used are listed in Supplementary Table S2.

**Anoikis assay.** 2.5x10<sup>5</sup> cells were plated on Ultra-Low attachment plates (Corning) with growth medium for 48 hours. Ready-Probes Cell Viability Imaging Kit (Blue/Red, ThermoFisher) was used to detect anoikis. Labeled cells were imaged on a Leica-DMIL microscope with a Leica-HI/PLAN I 10x/0.22 objective. Quantification was based on Hoechst33342 (360/460nm, total) and Propidium iodide (535/617nm, dead) positivity using ImageJ. Two independent experiments with two biological and three technical replicates for each group with 100 cells/condition were counted in 8 random fields.

**Flow cytometry analysis.** Single-cell suspension of 1x10<sup>6</sup> cells in FACS staining buffer (PBS, 0.5% BSA, 0.1% sodium azide) was blocked with 1µg anti-human IgG (Sigma) for 15 min then stained with a combination of antibodies listed in Suppl.Table S2 for 1hr. Cells were washed, fixed with 0.4% paraformaldehyde (EMS). A minimum of 50,000 events were recorded for each subline with 2 biological clones and 2 technical replicates. Cells were analyzed by LSR-Fortessa (BD Biosciences) and FCS-Express-6 (DeNovo) software. Cells were fist gated on FSC vs SSC and then on live cells (Live/Dead). After gating on single stained controls, negative control (MCF7), and nostain control was finished, each sample was collected. Samples were quantified for positive cells, negative cells, and median fluorescent intensity for CD44, CD24, and CD104.

**Immunoprecipitation (IP) assay.** 10<sup>6</sup> cells were washed with Dulbecco PBS (Corning), lysed with M-PER lysis buffer (ThermoFisher) with protease inhibitor cocktail (ThermoFisher) and placed in 4°C for 10 min. The lysate is centrifuged for 15 min at

15000rpm, 4°C. The whole cell lysate (WCL) supernatant is pre-cleared via incubation with Protein A/G sepharose (GE Healthcare) rotating for 30 min at 4°C. After preclearing, beads are separated by centrifugation, 600 rpm for 5 min, 4°C and the supernatant (300µg of protein) is used for IP of AURKA with 0.3µg of affinity purified rabbit polyclonal anti-AURKA antibody (AurA-N, Open Biosystems, custom made using 1–126aa N-terminal fragment of AURKA) (6), conjugated to 4B sepharose or rabbit nonspecific, affinity pure IgG/protein A/G sepharose (negative control). Samples were incubated overnight rotating at 4°C. Next, beads were washed three times with lysis buffer supplemented with protease inhibitors followed by addition of laemmli gel-loading dye, 10min at 100C boiling and loading on 4-12% SDS-PAGE gel (2h, 120v) and transfer onto PVDF membrane. IPs were done with 2 clones/subline and repeated 3 times. GAPDH was used as the loading control.

**Mass Spectrometry.** IP samples eluted from the beads were submitted to MS Bioworks (Ann Arbor, MI) for protein complex profiling which involved SDS-PAGE, in-gel digestion with trypsin, and LC-MS/MS. Protein identification data was received as an excel file and Scaffold (Proteome Software) file with contaminants and reverse hits removed. Proteins identified were normalized to IgG control, to determine significant partners. Protein bioinformatics was done using Gene Ontology Resource [\(http://geneontology.org/\)](http://geneontology.org/). Due to usage of WCL for IP some of the NLS-AURKA interacting proteins are cytoplasmic, and thus were excluded from further analysis.

**Orthotopic xenograft model of breast cancer.** Two days before cell injection, female mice were placed on Doxycycline (Bio-Serv) diet to enable AURKA expression in injected cells. The endpoint of study was when a tumor volume of 800mm<sup>3</sup> was reached. The study has been conducted with 5-10 mice/group and repeated at least twice. MLN8237 or vehicle administration began when primary tumors reached 150– 200mm3. 20mg/kg/dose were administered via oral gavage once daily for 5 days/week for 2 weeks and endpoint was after treatment ended. 3 mice/group in MLN8237 experiment. The organs from 3-5 mice/condition were collected, fixed in formalin, and processed using hematoxylin/eosin staining or IHC. A WVU clinical pathologist, blinded to sample identity, analyzed the de-identified samples for metastatic burden. The number/size of metastases were normalized to organ area in multiple fields of view for each mouse.

**RNA Sequencing and Bioinformatics.** Total RNA was isolated from MDA-MB-231 cells expressing empty-RFP vector controls, WT-, NES- or NLS-AURKA (2 clones of each and 2 independent experiments) using an RNeasy Mini-kit (Qiagen). RNA quality analyzed using Agilent 2100 Bioanalyzer (Agilent Technologies, Inc.). RNA-Seq libraries were constructed from 500ng of total RNA using the KAPA mRNA Hyper Prep kit-Biosciences with Illumina compatible adapters. The concentrations of the completed libraries were quantified with a qubit fluorometer using high sensitivity DNA reagent. Libraries were subsequently run on the bioanalyzer using a high sensitivity DNA chip to determine average fragment size. Completed libraries were then pooled in equimolar concentrations and sequenced on the HiSeq 2500 with PE50bp reads. RNA-Seq data analysis follows the procedures established in previous publications (7-9). Briefly, RNA-Seq short reads were aligned to the hg38 with subread (10). The summarization of read counts against RNA-Seq gene annotation was processed with the FeatureCounts function implemented in the Rsubread R package (11). Differentially expressed genes were predicted by EdgeR (12) with FDR less than 0.01 and a FC more than 2. Gene ontology enrichment analysis was done with the online DAVID Bioinformatics Resource (13) and visualized with REVIGO (14). Hierarchical clustering was applied to generate heatmaps after z-normalization. The RNA-seq raw and processed files were deposited to GEO and can be accessed at GSE154494. Processed files of comparisons with fold change were analyzed through the use of IPA (15) (QIAGEN Inc.,

[https://www.qiagenbioinformatics.com/products/ingenuitypathway-analysis\)](https://www.qiagenbioinformatics.com/products/ingenuitypathway-analysis))." For GSEA, comparison lists of Control/NLS and NES/NLS were used in analysis performed against gene sets (16-18) from the MSigDb database (19, 20). The analysis was done in the desktop GSEA software (19, 21) using 1000 phenotype permutations, and gene sets with a nominal p value<0.05 and false discovery rate FDR <0.25 were considered significant.

**Quantitative RT-PCR.** qRT-PCR was performed using PrimeTime Gene Expression Master-Mix (IDT #1055770) or Taqman Gene Expression Master-Mix (Applied Biosciences #4369016) and the Applied Biosystems-7500 Fast real-time PCR-system and software. 2 clones/subline and 2 replicates per qPCR. The relative expression of each gene was calculated using the comparative CT values and normalized to the housekeeping gene GAPDH or beta-actin.

**Chromatin Immunoprecipitation Assay.** Briefly, 5x106 of MDA-MB-231-NLS-AURKA cells (two clones) were used for each IP. Cells were cross-linked with 1% formaldehyde, treated with micrococcal nuclease for chromatin digestion, and briefly sonicated (3x, 20sec pulses). The 2µg of anti-AURKA (Bethyl), positive control anti-HIF1A antibodies (Cell Signaling #5537S), or negative control (rabbit IgG) were used for immunoprecipitation overnight. After de-crosslinking and DNA purification, the ChIP DNA was used for quantitative-polymerase chain reaction (qPCR, IDT, PrimeTime assays) for HRE gene promoters. Primer sequences are listed in the Supplementary Table S6. At least 2 repeats of ChIP assay and qPCR analysis were conducted.

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### **Supplementary Figures**



Supplementary Figure 1. Whately









**Supplementary Figure 3. Whately** 





**Supplementary Figure 4. Whately** 





**Supplementary Figure 6. Whately** 

### **Supplementary Figure Legends**

#### **Supplementary Figure 1. Nuclear AURKA is present in breast cancer metastatic cells.**

Kaplan–Meier patient survival plots were generated by the Kaplan–Meier Plotter [\(http://www.kmplot.com\)](http://www.kmplot.com/) to determine the effect of *AURKA* expression (microarray data Affy ID: 208079\_s\_at) on the progression free survival of **A.** 3,951 patients with breast cancer (BC), **B.** 255 patients with TNBC, **C.** 2,061 patients with ER+ BC. **D.** Representative IHC images of breast cancer patient biopsies (IRB protocol # WVU011113) with AURKA antibody (brown, DAB), nucleus (blue) hematoxylin. Scale bar-20µm. The de-identified tumor biopsy information is shown in Suppl.Table S4. **E.** Breast cancer patient biopsies with metastases or no metastases at collection showing analysis of spearman's rank correlation between nuclear AURKA positivity and metastatic outcome. The de-identified tumor biopsy information is shown in Suppl.Table S4. **F.** Representative immunofluorescence images of BC cell lines and immortalized non-tumor control (MCF10A) as indicated. Blue-DAPI/nuclei, green-AURKA (endogenous). Scale bar-10µm. **G.** Correlation between presence of nuclear AURKA and metastatic proficiency of indicated cell lines in mouse models based on published reports.

**Supplementary Figure 2. Depletion of FOXM1 does not change stem cell phenotype in NLS-AURKA cells.** Median fluorescent intensity of **A.** CD24, \*\*\*p=0.0001, \*p=0.0161 (Con vs NLS-AURKA), **B.** CD44, \*p=0.0492, ns=non-significant, (Con vs NLS-AURKA), **C.** CD104 based on flow cytometry analysis. n=2 clones/subline, n=2 replicates, \*p=0.0166 (NES-AURKA vs NLS-AURKA), one-way ANOVA, +/-S.E.M, Tukey's test. **D.** Representative dot plots of flow cytometry analysis of CD24 and CD44 in Control and NLS-AURKA cells with depletion of FOXM1 or scramble. **E.** Quantification of cells from (D) positive for CD24 and CD44 gated from live cells. n=2 clones/subline, n=2 replicates, ns=non-significant, one-way ANOVA, +/-S.E.M, Tukey's test. **F.** Representative dot plots of flow cytometry analysis of CD24 and CD104 in Control and NLS-AURKA cells with depletion of FOXM1 or scramble. **G.** Quantification of cells from (F) positive for CD24 and CD104 gated from live cells. n=2 clones/subline, n=2 replicates, ns=non-significant, one-way ANOVA, +/-S.E.M, Tukey's test. **H.** Median fluorescent intensity of CD24, CD104, and CD44; ns=non-significant, based on flow cytometry analysis. n=2 clones/subline, n=2 replicates, one-way ANOVA, +/-S.E.M, Tukey's test. **I.** Mammosphere diameter analysis reported in relative units (RU) for Control and NLS-AURKA (2 clones) cells

with depletion of FOXM1 or scramble. n=2 replicates, one-way ANOVA, +/-S.E.M, Tukey's test.

**Supplementary Figure 3. Final tumor measurements. A.** Final primary tumor volume (mm<sup>3</sup>), ultrasound-based measurements, n≥5 mice in each group, 2 independent experiments, nsnon-significant, one-way ANOVA, +/-S.E.M, Dunnett's test. **B.** Representative ultrasound images of tumors at week 8, tumors outlined in yellow. **C.** Representative Fluorescent-IHC images of staining with anti-Ki67 antibody, primary tumors, DAPI/nuclei (blue), Ki67 (red) scale bar-100µm (top image, merged), 50µm (inset). **D.** Quantification of Ki67 positive area (%) as in (C) normalized to total nuclei area, n≥5 tumors/subline, 2 independent experiments, ns-nonsignificant, one-way ANOVA, +/-S.E.M, Dunnett's test. **E.** Quantification of Nuclei positive area (%) as in (C) normalized to total tumor area, n≥5 tumors/subline, 2 independent experiments, ns=non-significant, one-way ANOVA, +/-S.E.M, Dunnett's test.

**Supplementary Figure 4. Lymph node IVIS analysis. A.** Representative bioluminescence images of mice with LN metastases at 8 weeks. **B.** Quantification of bioluminescence plotted as average radiance (p/s/cm<sup>2</sup>/sr), n≥5 mice/subline, \*p<0.05, ns=non-significant, one-way ANOVA, +/-S.E.M, Dunnett's test.

**Supplementary Figure 5. NLS-AURKA gene expression changes are reproduced in BT549 and MDA-MB-231 tumors. A.** Volcano plot for NLS-AURKA vs NES-AURKA comparison with the log2 fold changes in gene expression on the x-axis and the FDR statistical significance (−log10 p-value) on the y-axis. Red: genes up-regulated in NLS; Black: genes down-regulated in NLS; Blue: all expressed genes. Annotated genes with at least a >1.5 fold change and FDR<0.01 are displayed. **B.** Venn Diagrams of DEGs as in Fig.5A in pair-wise comparisons of NLS-AURKA vs Control, NLS-AURKA vs NES-AURKA, and NLS-AURKA vs WT-AURKA. **C.** Visualization of Gene Ontology (GO) terms representing biological processes for NLS-AURKA vs NES-AURKA. Up- and down-regulated GOterms (FDR<0.5) are depicted as circles; the distance between those indicates the relationship between terms: closer distance means higher similarity. Color and size of circles indicates significance of differential expression of an individual GO term (red low and blue high, in log10 p-value). **D.** Western blot analysis of selected RNA-seq target proteins in BT-549-sublines (similarly produced as MDA-

MB-231 sublines) with indicated antibodies, tubulin- loading control. **E.** Quantification of western blot as in (D) normalized to tubulin and referenced to Control (fold of change). VEGFR-2: \*p=0.029, HK1: \*p=0.035, CD49f: \*p=0.011, CASP3: \*p=0.018. **F.** qPCR array analysis of BT-549-AURKA sublines: empty vectors (Control), NLS-AURKA, NES-AURKA for selected genes from the RNA-seq DEGs (as in Fig.5A), which are down-regulated (blue) or upregulated (red) in NLS-AURKA cells. Fold change over Control, n=2/subline, INHBB: \*\*p=0.002, \*\*\*p=0.008; one-way ANOVA, +/-S.E.M, Tukey's test. ATP9A: \*p=0.039; NOG: \*p=0.039, ns=non-significant, unpaired t-test two-tail (NLS vs NES). **G.** Heat map of a subset of differentially (down-blue, up-red) expressed genes in MDA-MB-231-AURKA sublines which were used in the qPCR-based analysis of MDA-MB-231-AURKA sublines-derived tumors: **H.** down-regulated and **I.** up-regulated genes in NLS-AURKA cells and tumors. The qPCR results normalized to house-keeping genes (actin and GAPDH) shown as fold change over Control, n=3 tumors in each group. DEGs: HSPB8: \*p=0.04, ATP9A: \*p=0.021, MAPRE3: \*p=0.019, CXCR4: \*\*p=0.0029, ADAMTS1: \*\*p=0.0043, EHD3: \*p=0.029, ns=non-significant, one-way ANOVA, +/-S.E.M. Tukey's test. **J.** Kaplan–Meier patient survival plots for BC (basal subtype) of up-regulated hypoxia genes (from Fig.5H). **K.** Kaplan–Meier patient survival plots for BC of down-regulated hypoxia genes (from Fig.5H).

**Supplementary Figure 6. HIF expression in BT549 and MDA-MB-231 tumors. A.** Western blot analysis of HIFs expression with antibodies as indicated in BT-549-AURKA sublines: empty vectors (Con), NES-AURKA (NES), NLS-AURKA (NLS), loading control- GAPDH. **B.**  Quantification of WB as in (**A**), n=2 clones/sublines, \*p=0.035, ns=non-significant, one-way ANOVA, +/-S.E.M, Tukey's test. **C.** WB analysis of HIFs expression in mammary tumors produced by MDA-MB-231 sublines as indicated using anti-HIF antibodies and GAPDH used as loading control. **D.** Quantification of WB as in (**C**), n=3 tumors for each group, \*\*p=0.0078, \*\*\*p=0.0006, \*\*\*\*p=0.0001, ns=non-significant, one-way ANOVA, +/-S.E.M, Dunnett's test. **E.** Representative images of IHC analysis of mammary tumors with HIF1A antibodies; n=3 tumors per each subline as indicated. HIF1A (DAB/brown), nuclei (blue), scale bar-20µm.

# **Supplementary Tables**

**Supplementary Table S1**. sgRNAs, primers, and siRNAs used in this study:



### **siRNAs used in this study:**



#### **Supplementary Table S2**. Antibodies used in this study:





### **Supplementary Table S3**. Tumor Micro Array.













#### **Supplementary Table S5**. qPCR assays:

Custom 96-well qPCR plates from Thermo scientific:







PrimeTime assays from IDT Technologies:





## **Supplementary Table S6**. ChIP qPCR primers:



### **CHAPTER 3: General Discussion**

As mentioned in Chapter 1, AURKA has many functions in cancer progression and is a prognostic factor for worse survival in patients. Recently, the separation of AURKA's cytoplasmic and nuclear functions has come of interest. Most research focuses on deciphering AURKA's functions regardless of localization and under the assumption that it is cytoplasmic; however, identifying AURKA in the nucleus brought to light the significant differences in roles cytoplasmic vs. nuclear pools of AURKA play in cancer. Similar to the well-known tumor-suppressor - p53, which was initially identified as an oncogene, AURKA's oncogenic potential seems to be primarily driven by its nuclear pool. At the same time, cytoplasmic AURKA has limited oncogenic potential or even functions as a suppressor. The long-term goal of this study is to understand nuclear AURKA's function in tumorigenesis with a specific focus on metastasis. This chapter will discuss the main findings, differences in nuclear AURKA models, and future directions for studying nuclear AURKA.

In Chapter 2, this study tests the impact of nuclear AURKA on metastasis. We report, for the first time, that nuclear AURKA promotes metastasis. This conclusion is supported by the observation that the number of nuclear AURKA positive cells significantly increases during cancer progression from primary breast tumor to distant metastases; and there is a strong correlation between nuclear AURKA accumulation with more aggressive subtypes and metastases (Chapter 2, Fig.1A-C and Suppl.Fig1E). The next step would be to correlate nuclear AURKA presence with BC survival in patients. We

predict that nuclear AURKA will be indicative of worse overall survival for breast cancer patients.

The model we created to test the cytoplasmic and nuclear AURKA function completely separates AURKA into those compartments. This was done by using an NES- (nuclear exclusion signal) and NLS- (nuclear localization signal) tagged exogenous AURKA combined with a knockout of the endogenous AURKA to produce cells with a single compartment-restricted AURKA (Chapter 2, Fig.1F-H). These cells were used in *in vitro* assays for proliferation, invasion, and stemness. The resulting NLS-AURKA cells show increased invasion and stemness capabilities without changes in proliferation. Both invasion and stemness capabilities are involved in the metastatic cascade. It was previously reported that AURKA promotes invasion and migration as reviewed in Chapter 1; however, these effects were attributed to cytoplasmic AURKA. No other studies have been published on nuclear AURKA-driven function in regulating invasion and migration, except our research in Chapter 2. Based on RNA-seq findings, NLS-AURKA cells show upregulation of key cytoskeleton (RHOJ, ARHGAP22, ARHGAP24, ELMO1, DEF6), adhesion (CADM2, SELL, ITGA6), matrix-degrading (ADAMTS5, ADAMTS1, MMP1, MMP14), and tight junction (CLDN4, CLDN11, CLDN12, CLDN23) proteins, which may explain the increase in invasion (Chapter 2, Fig.5). Also, due to the morphology differences in NLS-AURKA cells (smaller nuclei and more round), this is indicative of an amoeboid morphology (1) (Chapter 2, Fig.2B-D). The amoeboid morphology and smaller size support the ability of cells to move throughout a constricted environment (2). Due to the morphology changes and differences in expression of genes involved with migration, this would warrant further study of these pathways in NLS-AURKA cells.

In breast cancer, it has been reported that AURKA overexpression leads to increased stemness, noted by increased CD24-/CD44+ cell population and the ability to form mammospheres (3, 4). In these models, using fractionation of the AURKA overexpressed cells, AURKA is present in both the cytoplasm and nucleus, compared to normal (3). Also, when AURKA is excluded from the cytoplasm, the stem cell potential decreases, suggesting that nuclear AURKA is responsible for the increased stemness (3). Our model agrees with these conclusions that nuclear AURKA increases stemness. The NLS-AURKA increases the number of CD24-/CD44+ cells and their ability to form mammospheres, compared to control and NES-AURKA cells (Chapter 2, Fig.2L-R). A previous report by Yang et al. showed that the FOXM1/AURKA complex contributes to regulating the self-renewal of breast cancer stem cells (4). In our model, the depletion of FOXM1 was insufficient to change the expression of the stem cell markers or mammosphere formation (Chapter 2, Suppl.Fig.2D-I). Based on our findings, this activity of nuclear AURKA is mediated, at least partly, by activation of HIF1A/B, which in turn may upregulate FOXM1 (Chapter 2, Fig.7H-J). These findings differ from previously published reports, using either an overexpression system (4) or NES-AURKA inducible system (3). Neither of those modeling approaches allows gauging nuclear AURKA specific function directly. The overexpression system shows increased AURKA protein levels in both cytoplasmic and nuclear compartments (3, 4). This is not a clean system as functions of cytoplasmic AURKA will be increased.

The role of nuclear AURKA in metastasis is further supported by the increased metastatic dissemination/colonization at multiple distant organs in an orthotopic breast cancer mouse model, upon experimentally targeting AURKA to the nucleus (NLS-

AURKA) (Chapter 2, Fig.4). The metastatic penetrance of NLS-AURKA cells is 100% in the lungs, lymph node, liver, and bone. Earlier studies showed that metastatic seeding in the bone and liver required multiple rounds of injection to select metastatic cells (5, 6). In our model, the bone and liver metastases were achieved without prior enrichment of cells, suggesting that disseminating cells were selected for the presence of nuclear AURKA. Furthermore, nuclear AURKA cells show upregulation of genes that promote bone metastasis, including ADAMST1, FGF5, FST, CXCR4, IL-11, MMP1 (5). The metastatic gene signature and increased metastatic penetrance in multiple organs suggest that nuclear AURKA is a key driver of an aggressive, metastatic phenotype. Note that tumor cells with cytoplasmic AURKA, which is found in all normal, non-transformed cells, have limited to no metastatic capacity without impacting cell growth/proliferation (Chapter 2, Fig.2A and Fig.4).

By integrating global gene expression and computational analyses, we found that nuclear localization of AURKA leads to significant changes in expression of over 3,000 genes involved in the regulation of hypoxia, transcription, metastasis, and other cancerrelated pathways (Chapter 2, Fig.5 and Suppl.Fig.5). It is well-established that hypoxia is an inducer of invasion, stemness, and metastasis (7, 8), but the role of nuclear AURKA in the activation of hypoxia signaling is currently unknown. Our findings show that nuclear AURKA is a potent inducer of the hypoxia-response gene signature *in vitro* and *in vivo* (Chapter 2, Fig.6 and Suppl.Fig.6). Hypoxia signaling is primarily driven by hypoxiainducible factors (HIFs), which include oxygen-sensitive HIF-A subunits (HIF1A, HIF2A/EPAS1) and oxygen-independent HIF-B subunits (HIF1B/ARNT, HIF2B/ARNT2). The activation/expression of HIF-1A at diagnosis is predictive of early relapse and

metastasis and correlates with poor clinical outcomes in human breast cancer (9-11) and distant metastasis-free survival (12).

An enrichment of hypoxia response genes (Chapter 2, Fig.5H) was observed in nuclear AURKA expressing cells and tumors without an increase in HIF1A or HIF2A (Chapter 2, Fig.6A-B and Suppl.Fig.6C-E), suggesting HIF1/2A transcriptional activity was elevated instead, potentially via recruitment/retention on the DNA. The AURKA-ChIP complex analysis and qPCR support the notion that AURKA is binding to HRE-containing promoters similarly to HIF1B and interacts with previously documented partners like hnRNPk (Chapter 2, Fig.6E-I). These changes are reported under normoxic conditions in metastatic cells and could be further induced under hypoxia. This similar phenotype was observed in other breast cancer models (13). Nuclear AURKA gene expression changes show metabolic reprogramming (HK1/2), angiogenesis (ADM, ANGPT1, EDN1, FECH), invasion (CXCR4, LOX, MMP1, MMP14, ITGA6, CSRP2), and proliferation (ENG, WT1, CCND1) being the most upregulated. The nuclear AURKA-driven hypoxia gene signature mainly contains genes driven by HIF1A (HK2, END1, VIM, CSRP2) or HIF1/2A (CCND1, MMP14, ITGA6, ANGPT1, ADM, LOX, CXCR4). Interestingly, in DMOG-treated NLS-AURKA cells, only HIF1A was upregulated. Note that not all genes previously connected to hypoxia were upregulated. Some canonical HIF1A-induced genes such as PDK1, PFKP, SNAI1, and NOS3 were downregulated compared to empty-vector control, suggesting the involvement of additional cofactors affecting transcription besides just the HRE-driven mechanism (Chapter 2, Fig.5I). This has been demonstrated for several other transcription factors, including Sp1(14), AP1-GATA2-NF1(15), Erg1-C/EBPα(16), Sp1- HNF4(17), ETS1(18), STAT3(19), and Myc(20). The ability of other transcriptional

activators to enhance hypoxia gene transcription in cooperation with HIF1A or independently of HIF1A suggests the complexity of expression of HIF target genes. Depletion of HIF1A/B in nuclear AURKA cells led to a reduction in migration/invasion and expression of the key hypoxia signature genes, suggesting that this metastasis-driving activity of AURKA is HIF1 dependent (Chapter 2, Fig.7A-G).

HIF-dependent transactivation requires other cofactors, including CBP/P300, general transcription factors in assembling the pre-initiation complex (PIC), the RNAPII complex, and chromatin remodelers (21). Evidence shows that HIF target genes are in a paused state of transcription in normoxia conditions, which already contains the RNAPII complex with other transcription cofactors, and is preferred to HIF1α binding (22). With the reports of several other transcription factors enhancing hypoxia-responsive genes, we hypothesize that nuclear AURKA acts as a transcriptional activator and may reduce the pausing of RNAPII complex and cofactor machinery in a normoxia setting. The LC-MS/MS analysis of the AURKA-complex shows p300/CBP and RNAPII complex proteins (RPB1, RPB2, RPABC1), thus supporting the role of nuclear AURKA in the regulation of transcription (Chapter 2, Fig.6G-I). Interestingly, LC-MS/MS analysis also revealed other members of the super elongation complex (SEC) (23) interacting with AURKA, including CDK9, MEPCE, TRIM28, PPM1G, and HEXI1. These findings support that AURKA being in the nucleus is interacting with RNAPII complex and the SEC. These findings are in agreement with the previous reports showing AURKA binding to the MYC promoter via interaction with hnRNPk increasing MYC transcription (3). In concordance with this report, we show that nuclear AURKA binds to chromatin complexes that contain hnRNPk (Chapter 2, Fig.6F,H). The global ChIP-seq analysis of AURKA has not been reported;
therefore, this is a future direction to determine potential transcriptional targets of nuclear AURKA.

Previously it was shown that transactivation capabilities of nuclear AURKA do not require kinase activity. Our previous reports and the current study show that inhibiting AURKA, including nuclear kinase activity, leads to a significant decrease in metastatic burden (Chapter 2, Fig.8). Interestingly, the kinase activity was not required for dissemination but was critical for colonization of distant organs, suggesting a differential effect of kinase activity on different steps in the metastatic cascade. Combining AURKA inhibitors with HIF-targeting compounds might prove to be even more beneficial in preventing and treating metastatic cancer. Previous studies have shown the importance of AURKA inhibitors in decreasing tumors and metastasis (3, 24). Our data clearly shows the importance of nuclear AURKA in metastasis, but further research is needed to determine its role in regulating global transcription changes. A better understanding of the function of nuclear AURKA may implicate the discovery of new biomarkers for metastatic disease and potential therapeutic targets.

#### **Future Directions**

Due to the profound gene expression changes inflicted by nuclear AURKA, there are many opportunities for future research to determine the molecular mechanisms of these effects. The previous work (3, 4, 25) and our work (Chapter 2) discovering transactivation capabilities of AURKA open a new chapter in AURKA biology related to the regulation of transcription (Figure 1). The global ChIP-seq analysis of NLS-AURKA cells compared to NES-AURKA and controls would identify specific promoter regions and genes where AURKA is bound. The mapping of AURKA-driven genes will lay a foundation

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for deciphering novel metastasis-driving genes that AURKA regulates directly or indirectly. The results will also assist in defining new AURKA binding partners involved in transcription control and/or chromatin modification. The knockdown experiments of these transcription factors, similar to siHIF experiments done in Chapter 2, will allow us to verify their role on AURKA-driven metastatic cascade.

The kinase activity of nuclear AURKA is another area of interest that is still not fully understood. Using AURKA inhibitors, it was shown that nuclear AURKA transcriptionally activates Myc and FOXM1 in a kinase-independent manner (3, 4). However, xenograft experiments with AURKA inhibitors show kinase function is essential for tumor progression. Using a breast cancer xenograft model in the flank, treatment with AURKA inhibitor led to decreased tumor growth (3, 4). From our orthotopic xenograft in Chapter 2, the kinase activity of NLS-AURKA is vital for metastatic colonization, showing significantly decreased metastases with AURKA inhibitor (MLN8237) treatment. This suggests that kinase activity of nuclear AURKA may be necessary for specific steps of tumor progression and metastasis via its effects on the downstream effector proteins, but may not be needed for its transcriptional functions. Future experiments to determine if kinase activity is required include treating cells with MLN8237 and doing qPCR, RNAseq, ChIP-seq, and immunoprecipitation combined with Mass Spec analysis of protein phosphorylation changes. These techniques will allow us to see global changes that may occur when we inhibit kinase function, both transcriptionally and protein-protein interactions. Experiments with MLN8237 can also be done with *in vitro* 3D invasion assays to look at earlier metastasis stages. It remains undetermined if the nuclear AURKA-HIF1 axis of transcriptional changes depends on kinase activity or if the HIF-

dependent function is AURKA phosphorylation-dependent. Studies with MLN8237 in our model will need to be conducted to answer these questions.

Another area of further research would be the possible effects of nuclear AURKA on chromatin dynamics. In our study, in NLS-AURKA cells, we observed the DNA compaction to be different than NES-AURKA and control cells, and we also quantified nuclei size to be smaller in NLS-AURKA cells. This could suggest some chromatin remodeling. Supplementing these observations with high-resolution 3D microscopy of the DNA would be an interesting future direction (26). The other data to support the possible effects of AURKA on chromatin comes from our ChIP-WB and the Mass Spectrometry data. The western blot analysis of the ChIP complex precipitated with anti-AURKA antibodies detected multiple proteins, including Histone H3 and Histone H2B (Chapter 2, Fig.6F), suggesting that nuclear AURKA is either directly or indirectly bound to these histone subunits. The Mass Spectrometry analysis of the AURKA immunoprecipitated complex from NLS-AURKA cells identified the following chromatin modifiers RB, PAF1, SETB1, TRIM28, RBBP7, and more, suggesting a potential role of AURKA in the regulation of chromatin dynamics.

Another major interest is the mechanism controlling subcellular localization of AURKA. The only research done on nuclear AURKA localization is identifying the protein region in AURKA that localizes it into the nucleus (amino acids 333-383) (3). There is no identifiable NLS sequence present, but when this region of AURKA protein is deleted, its nuclear localization is lost. These findings suggest that a protein interaction in this region might drive localization. Even though we have done a Mass Spectrometry assessment of AURKA immunoprecipitated complex, this was done with whole-cell lysates, limiting our

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ability to detect potential transport proteins. A cleaner experiment would be to fractionate the cells into cytoplasmic and nuclear compartments and map interactors. We could then identify proteins that could be bringing AURKA into the nucleus and do knockdowns to look at AURKA localization.

Our study in Chapter 2 opens up many areas of future studies for nuclear AURKA functions in gene regulation and binding partners. The global analyses of DNA structure, binding partners, chromatin modifications will help identify new molecular events and processes that AURKA regulates in the nucleus which affect metastasis. The results will help us understand the bigger picture of nuclear AURKA driven biology and how it impacts metastasis, leading to discoveries on how to inhibit those functions and prevent/cure metastasis.



**Figure 1. Known Nuclear AURKA Functions.** *A) Nuclear AURKA and HnRNPk interact, and AURKA transactivates MYC leading to an increased number of breast cancer stem cells. MYC transactivation is kinase-independent, but the treatment with MLN8237 leads to decreased tumor volume. B) Nuclear AURKA transactivates FOXM1 leading to an increased number of breast cancer stem cells. The transactivation of FOXM1 is kinase*independent, but the treatment with MLN8237 leads to decreased tumor volume. C) *Nuclear AURKA transactivates HIF target genes via interaction with HIFs and cofactors P300/CBP. It is not known if this transcriptional regulation is kinase-dependent. Treatment of MLN8237 leads to decreased metastasis.* 

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# **Appendix**

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